



# Aging and Brain Injury: Expectations and Realities

**Rolf B. Gainer, PhD**

Neurologic Rehabilitation Institute at  
Brookhaven Hospital

Community NeuroRehab

Rehabilitation Institutes of America

# Disclosure

- Rolf B. Gainer, PhD has business relationships with Brookhaven Hospital, the Neurologic Rehabilitation Institute of Ontario, Community NeuroRehab of Iowa and Rehabilitation Institutes of America
- The studies conducted by Brookhaven Hospital , Community Neuro Rehab and the Neurologic Rehabilitation Institute are self-supporting and receive no public or private grant monies.

objectives:

To understand brain  
injury as a chronic  
disease which affects  
the person  
throughout their  
lifetime

To consider co-morbid conditions which affect the process of aging with a brain injury

To understand the  
accelerated process  
of aging related to  
people living with a  
brain injury



**Brain Injury is a  
lifetime disability**



**Brain Injury:  
a cumulative  
disability**



# Age and Disability: Shared Issues, Different Timing

# Age and Disability: Shared Issues

# *TBI Disability Based*

## *Age Based*

Mobility problems

Functional losses

Memory and cognitive problems

Sensory impairments

Health problems

Loss of independence

Reduced income

Depression

Loss of peers/ social withdrawal

# Aging

Neuroplasticity decreases with age

Atrophy increases with age

The process of aging can have a greater effect on a person with a brain injury

Same problems

Different timeframe  
for onset

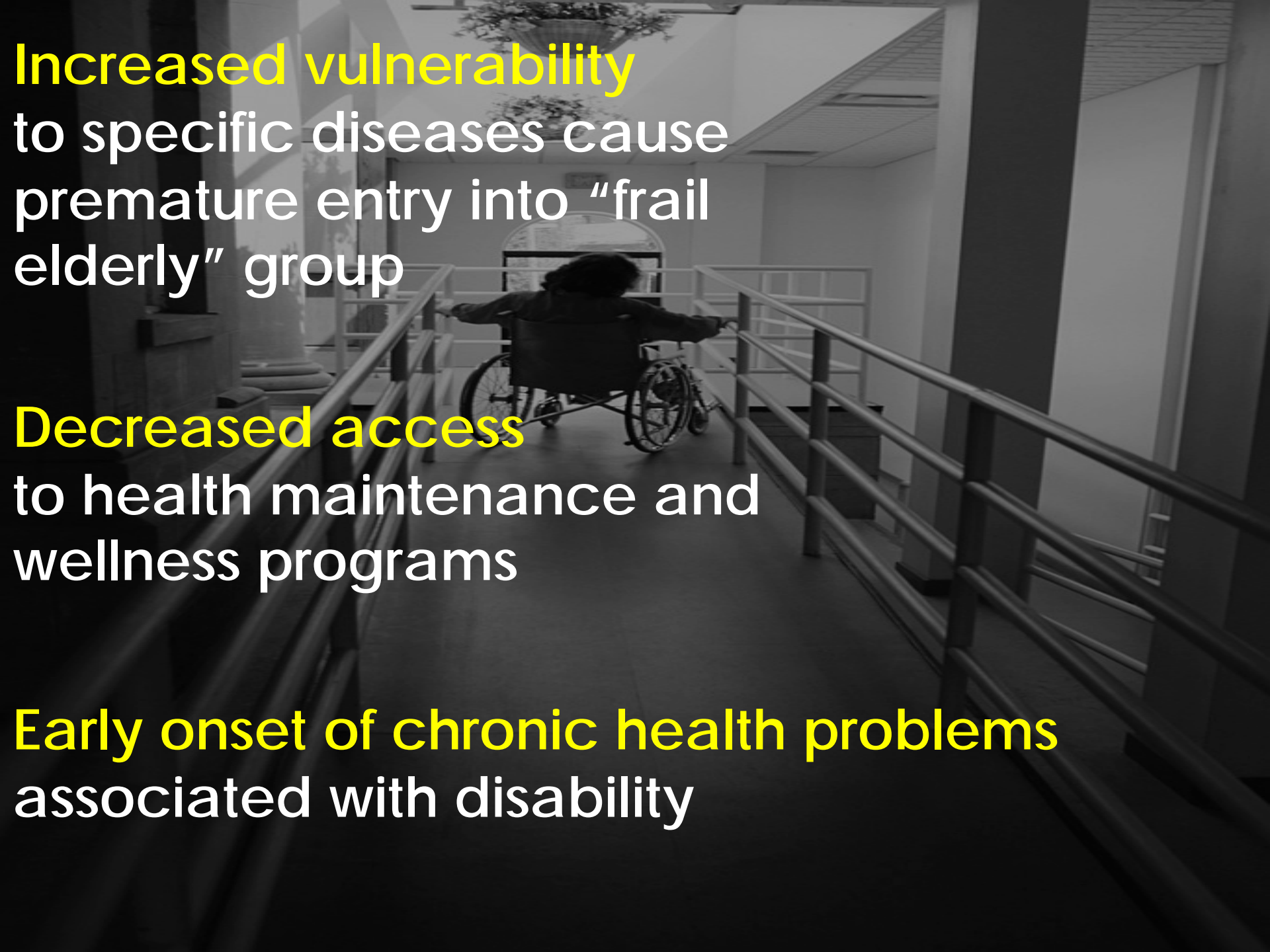


# Disability and Future Healthcare Needs

**Increased vulnerability**  
to specific diseases cause  
premature entry into “frail  
elderly” group

**Decreased access**  
to health maintenance and  
wellness programs

**Early onset of chronic health problems**  
associated with disability



A grayscale photograph of a person in a wheelchair moving up a ramp. The ramp has metal handrails on both sides. The person is seen from behind, pushing the wheelchair. The background shows a building with large windows and a door at the top of the ramp.

**Likelihood of experiencing new health conditions**  
related to functional loss

**Likelihood of experiencing longer and more complicated treatment for health problems**

**Greater needs for DME, poorer adjustment to assistive devices**

**Source: DeJong, 1997**



how can we learn to  
measure at  
multiple points in the  
lifespan?

to accurately address  
*changes over time*

Health disparities effect  
*quality of life*  
and, the relationship to  
*physical health and*  
*wellness*



Creates a change  
in direction

how can we understand  
the *sequence of life*  
*changes* following brain  
injury?

we need to start by looking  
at *changes within the brain  
at the time of injury*:

are there *biomarkers* other  
than outward function?

Pro-inflammatory and anti-inflammatory processes

Endocrine and immune system changes

Do these processes affect how the will person age?

How do behavioral influences like **diet, sleep and exercise** impact on these functions?

Can we intervene to stall  
the neurodegenerative  
process?

Will that exert change on  
how a person ages with a  
brain injury?



We hear about  
outcomes...



Do outcomes change over time?

what really changes?

the person?

the measurement?

A yellow measuring tape is coiled in a loose spiral on a light blue background. The tape has black markings and numbers, including '3', '4', '5', and '6'. The lighting is bright, creating a soft shadow of the tape on the surface below it.

maybe changes continue  
to occur

just like in everyone's life

We also hear about  
“normal”

Who determines  
what's "normal"?

When is “normal”  
reached?

Is there a typical  
brain injury?

How does that relate to  
the aging process?

Let's look at some  
research to identify  
issues that we see  
beyond the original  
injury



Does this research help  
us to understand the  
process of living with a  
brain injury?

# Life expectancy after TBI

Twice as likely to die as age,  
gender and race matched peers

Estimated life reduction of 7 years

Source: Harrison-Felix, C., et al. (2004); Harrison-Felix, C., et al. (2006)

# Health disparities

Increase in health issues post-TBI

15 times more likely to die from seizures

5 times more likely to have mental health or behavioral problems

# Health disparities

3 times more likely to die from aspiration pneumonia, sepsis, nervous system disorders, digestive problems and assaults

2 times more likely to die from suicide, circulatory conditions and unintentional injuries

Health disparities and  
increased disease  
likelihood affects longevity

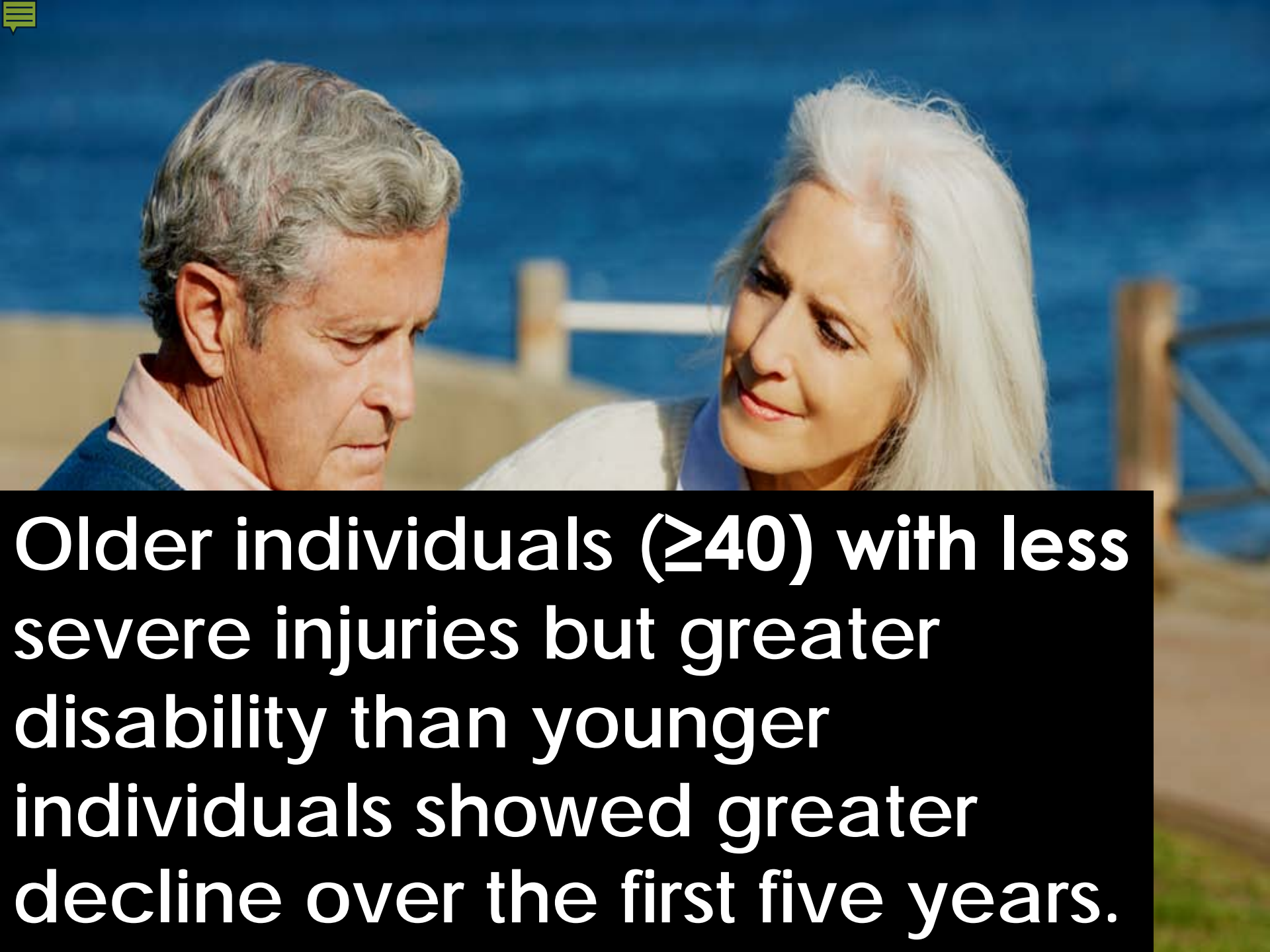
Creating a more vulnerable  
and fragile population of  
people aging with a brain  
injury

Age and sex-specific life expectancy were lower than the U.S. general population

Brooks, J et al. Long-Term Survival After Traumatic Brain Injury. Part I and II. Arch Phy Med and Rehab, V.96, N.6, June 2015. pp994-1005


Age, male gender, injury severity and degree of disability in walking and self-feeding relate to increased mortality

Brooks, J et al. Long-Term Survival After Traumatic Brain Injury. Part I and II. Arch Phy Med and Rehab, V.96, N.6, June 2015. pp994-1005

A photograph of an older man and woman standing outdoors near a body of water. The man, on the left, has grey hair and is looking down. The woman, on the right, has long white hair and is looking towards the man with a slight smile. They are both wearing light-colored shirts. The background shows a blue sea and a wooden railing.

**Older individuals ( $\geq 40$ ) with less severe injuries but greater disability than younger individuals showed greater decline over the first five years.**



A black and white photograph of an elderly couple. The man, on the left, has short, wavy grey hair and is looking down with a serious expression. The woman, on the right, has long, straight white hair and is looking towards the man with a slight smile. They are outdoors, with a blurred background showing a fence and some foliage.

**A higher risk of cognitive and functional decline was seen with older individuals.**

**Marques de la Plata C, Hart T, Hammond F et al: Impact of Age on Long Term Recovery from Brain Injury. Arch of Phys Med Rehabilitation V 89, May 2008**

History of traumatic brain injury associated with increased risk for dementia and Parkinsonism, cognitive impairments and decline, seizure, hormonal disorders...

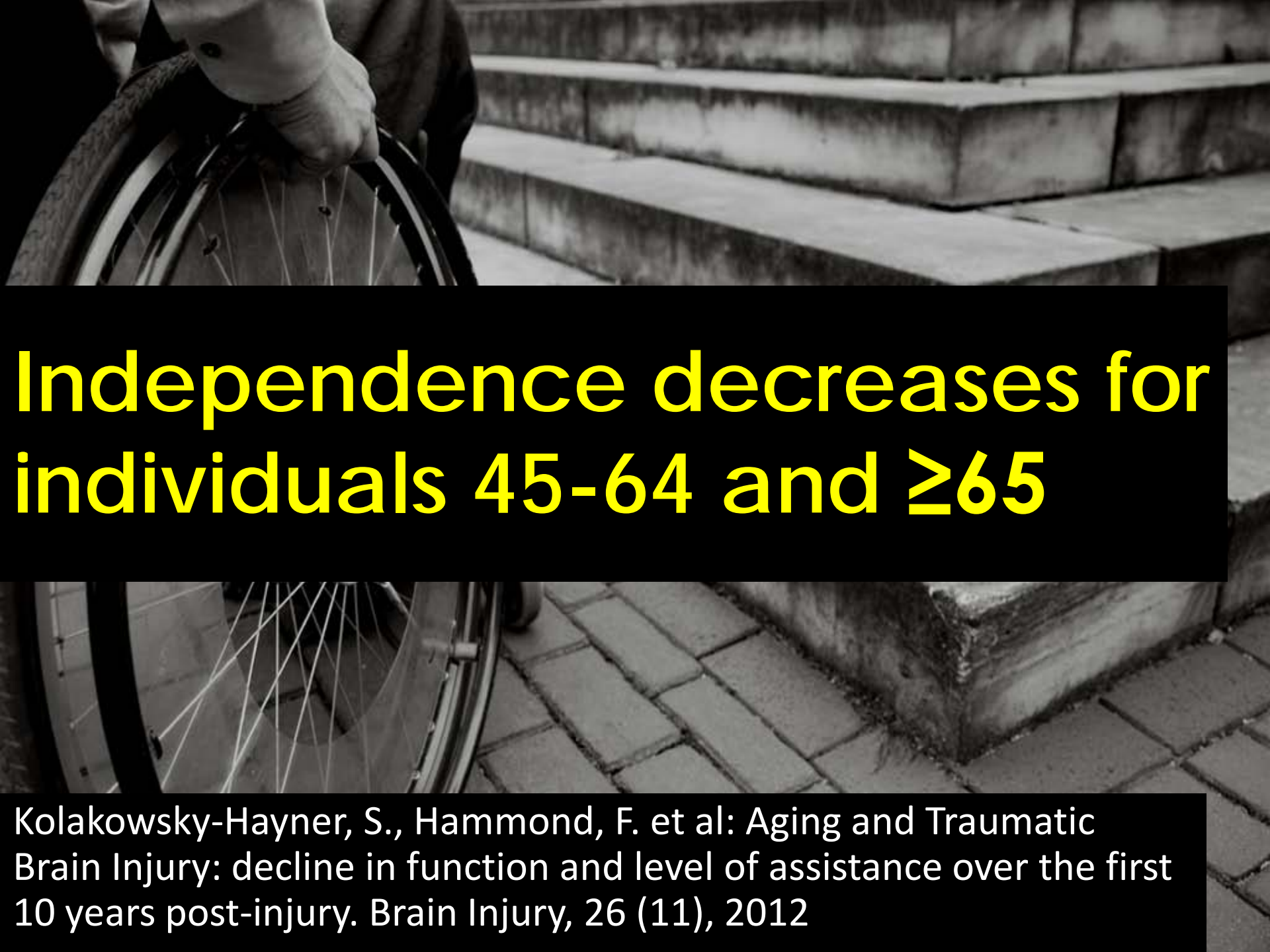
Ishibe N, et al, Long Term Consequences of BI, a report to the Institute of Medicine, 2009

...and long term emotional  
and social problems and  
unemployment

Ishibe N, et al, Long Term Consequences of BI, a Report to  
the Institute of Medicine, 2009


A black and white photograph showing a person's hand resting on the rim of a wheelchair wheel. The wheel is positioned on a brick-paved surface next to a concrete curb. The image is split horizontally by a black bar containing white text.

**Loss of independence  
creates increased needs**

A black and white photograph showing a person's hand holding a bicycle wheel. The wheel is positioned next to a set of concrete steps. The background is slightly blurred, showing more of the steps and the ground.

# Independence decreases for individuals 45-64 and $\geq 65$

Kolakowsky-Hayner, S., Hammond, F. et al: Aging and Traumatic Brain Injury: decline in function and level of assistance over the first 10 years post-injury. Brain Injury, 26 (11), 2012



# Need for part and full time supervision increases for individuals 45-64 and $\geq 65$

Kolakowsky-Hayner, S., Hammond, F. et al: Aging and Traumatic Brain Injury: decline in function and level of assistance over the first 10 years post-injury. Brain Injury, 26 (11), 2012

A black and white photograph of a young child, possibly a toddler, with their hand near their face. The child is looking down and to the side with a somber or contemplative expression. The lighting is soft, highlighting the child's features against a dark background.

**Long-term outcomes  
of brain injury disability**



# Disengagement from naturally occurring social units





The aging process in the  
increasing years since injury

Declines in physical and  
cognitive functioning

Declines in societal  
participation

Source: Sendroy-Terrill, et al, 2010

Cognitive, physical and societal functioning are influenced by the severity of the injury

Source: Sendroy-Terrill, et al, 2010



Fatigue identified as a key  
factor in functioning  
and participation

Fewer environmental  
barriers reported as people  
age with a brain injury

**Adaptation or reduced  
societal participation?**

Source: Sendroy-Terrill, et al, 2010

Increased age at injury  
predicts decline in  
functional independence

Creating increased care  
needs

Source: Sendroy-Terrill, et al, 2010

# Can rehabilitation outcomes be sustained?

Life functioning and community integration gains can be sustained after rehabilitation

Areas studied included:

Living accommodations

Employment

Hours of care needed

How do psychological changes impact on a person's return to living their life?

# Functional Outcomes 10 years after injury

High levels of anxiety and depression = poorer outcome attainment

Level of ability to participate = poorer outcomes

Social isolation related to functional deficits

Psychiatric diagnosis and cognitive deficits are best regarded as components rather than outcomes



# Monash University Study: Likelihood of post-injury psychiatric disorders

Psychiatric disorders occurring in 60% of the post-injury population in a 5.5 year period

Greater likelihood of psychiatric disorder found in relationship to pre-injury substance abuse, major depressive and anxiety disorders

# 30-year study of mental health issues and brain injury

Temporary disruption of brain function leading to the development of psychiatric symptoms

Increased, long-standing vulnerability and even permanent psychiatric disorder

# HMO Study of mental health issues

Severe TBI related to higher rates of depression (MDD), dysthymia, OCD, phobias, panic disorders, substance abuse/ dependence, bipolar disorders as compared to the non-TBI group

# HMO Study of mental health issues

“Poorer physical or emotional health and higher likelihood of receiving welfare for the TBI cohort”

Negative symptoms of psychiatric disorders enforce social isolation and social network failure

Source: Silver, J., Kramer R., Greewald., Weissman, M. (2001)

# Fann et al: Self perception

Individuals with both depression and anxiety perceived themselves as more ill and demonstrated reduced function as compared to cohort with anxiety without depression

The onset of health issues  
and functional  
impairments **reduce the**  
**person's ability to**  
**participate** in activities  
which support  
independence

**Resilience:** an  
illusive factor in aging  
with a disability

**Resilience** and long-term functional outcomes

Resilience may protect mood and **prevent depression**



Resilience may  
increase social  
participation

**Resilience** may change  
from pre-injury baseline  
as a **person ages with a  
brain injury disability**

Source: Silverman A et al Arch Phys Med Rehabil  
2015;96:1262-1268

Let's look at a cohort of 10 individuals in a community-based supported living environment to consider the problems they are experiencing.

# The demographics

9 males, 1 female,  $\geq 20$  years post-injury

100% Severe Brain Injury

55-69 years of age

88% Motor Vehicle Accidents

100% were employed pre-injury

# Changes to their family support systems since their injury

12% have no contact with family

50% have experienced the death of one or both parents

75% have reduced contact with family members

**What health problems are  
they facing now that they are  
 $\geq 20$  years post injury?**

# Decreased mobility

25% using walkers

25% using wheelchairs

# Development of medical problems post-injury

Diabetes in 33%

Skin integrity problems 25%

Circulatory problems 25%

Seizure disorder 12%

Swallowing problems 50%

Sleep apnea 25%

Parkinson's Disease 25%

Hearing, vision problems 75%



# Psychological/Psychiatric Problems

50% report ongoing depressed mood

50% report problems with anxiety

100% report problems with fatigue

**Mortality 20%**

**Male 62- Massive MI**

**Female 69- Bowel  
obstruction, sepsis**

100% requiring medical,  
nursing and attendant  
care to manage health,  
living and mobility.

# Brain Injury: Not a Single Disability

Severity related factors

Increased survivability with greater functional deficits

Increased comorbidity

Caregiver stress

Mobility and access issues

Reduced income, onset of  
disability related poverty



**Brain injury: a  
disease process**

**TBI is not solely an event**

when we look at the  
effects of a brain injury on  
a person, we need to  
regard the chronic nature  
of the disabling conditions

# What defines a chronic disease?

World Health Organization, 2002

- ✓ Permanent
- ✓ Leaves a residual disability
- ✓ Caused by a non-reversible pathological alteration
- ✓ Requires special training of the person
- ✓ May be expected to require a long period of supervision, observation and care

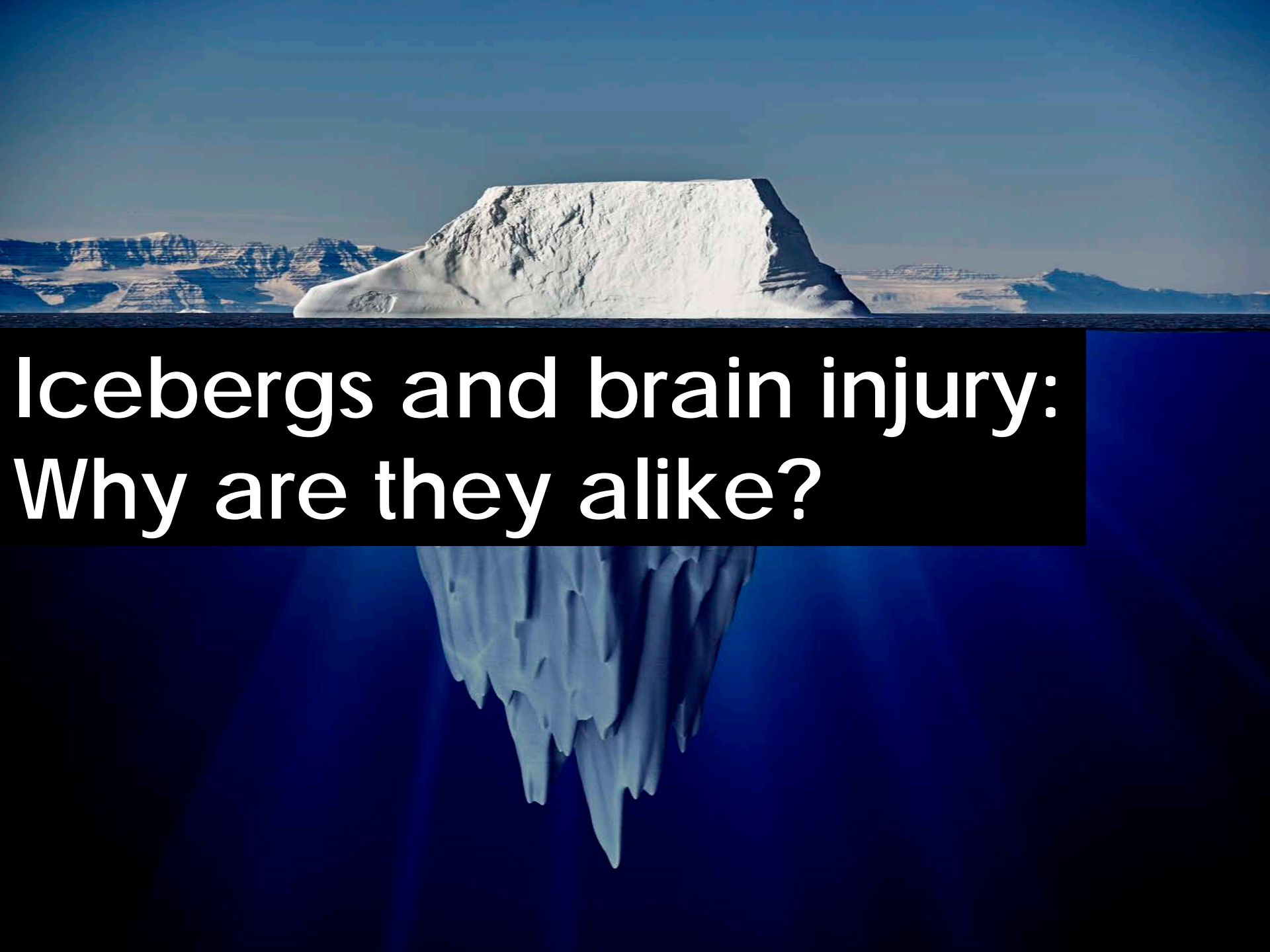


# Brain injury: an illness?

this view isolates the impact  
of the injury on the entire  
person

it creates expectations  
of a person's return to  
their pre-injury status  
without problems

...but brain injury is a process which continues to exert changes over the course of a person's life...



Icebergs and brain injury:  
Why are they alike?

A photograph of a large iceberg floating in the ocean. The visible tip of the iceberg is a flat, white, rectangular shape. Below the water line, the submerged part of the iceberg is much larger and has a jagged, pointed appearance. The background shows a clear blue sky and distant, snow-capped mountains.

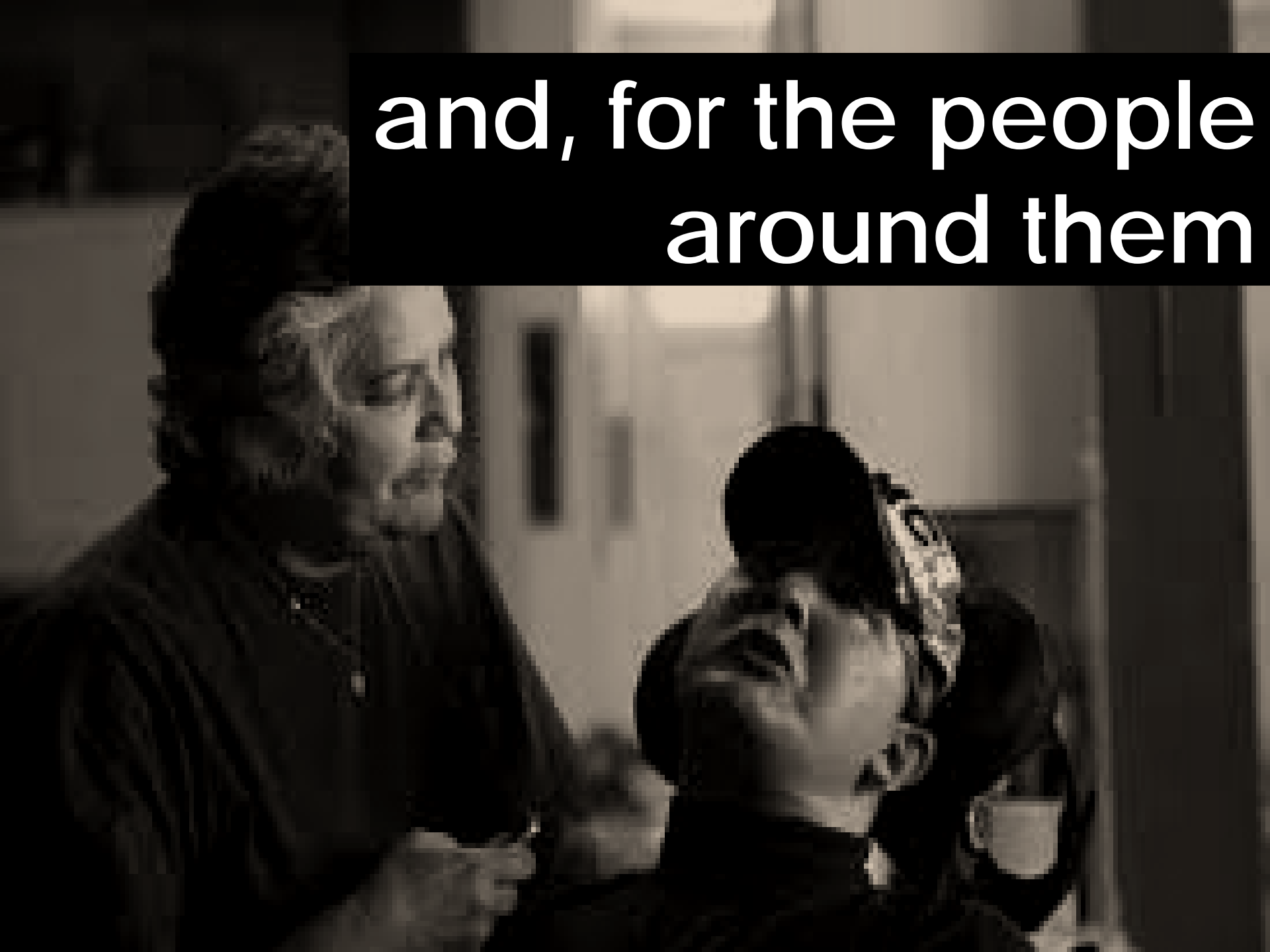
We see the 10% of the iceberg  
that occurs in the first 18-24  
months following the injury



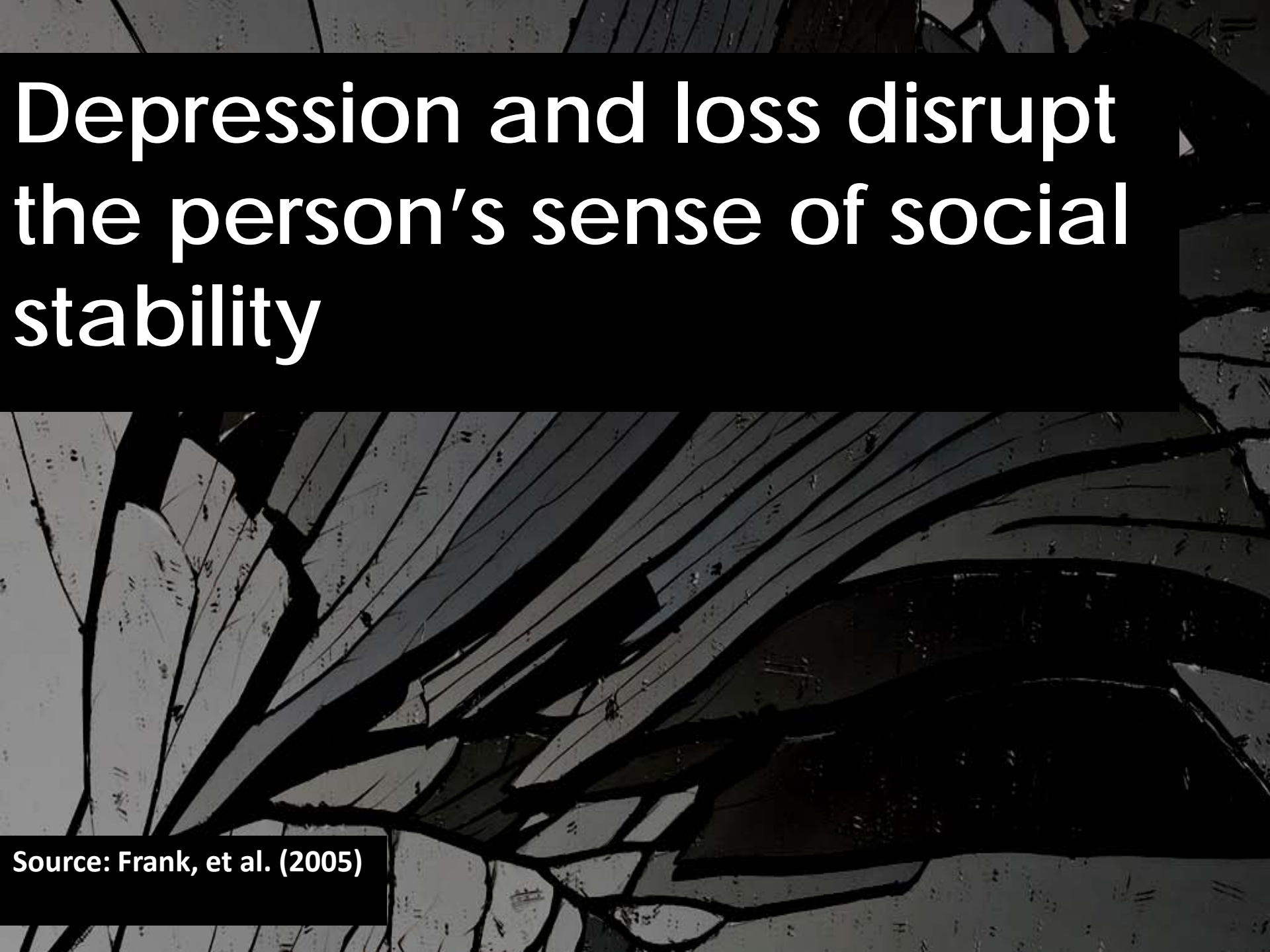
10% of an iceberg is visible,  
90% is below the surface

The chronic nature of  
brain injury related  
disability effects the  
person throughout their  
lifetime

and, for the people  
around them







Depression and loss disrupt  
the person's sense of social  
stability

Source: Frank, et al. (2005)

Mental health and  
substance abuse  
issues change  
outcome potential

1 to 5 years after the injury

nrio outcome study,

adult cohort

1997-2014

Source: Gainer, R., et al. (1997-Ongoing)

# Person's perception of post-injury changes

cognition

behavior

emotions

physical disabilities

relationships

level of participation

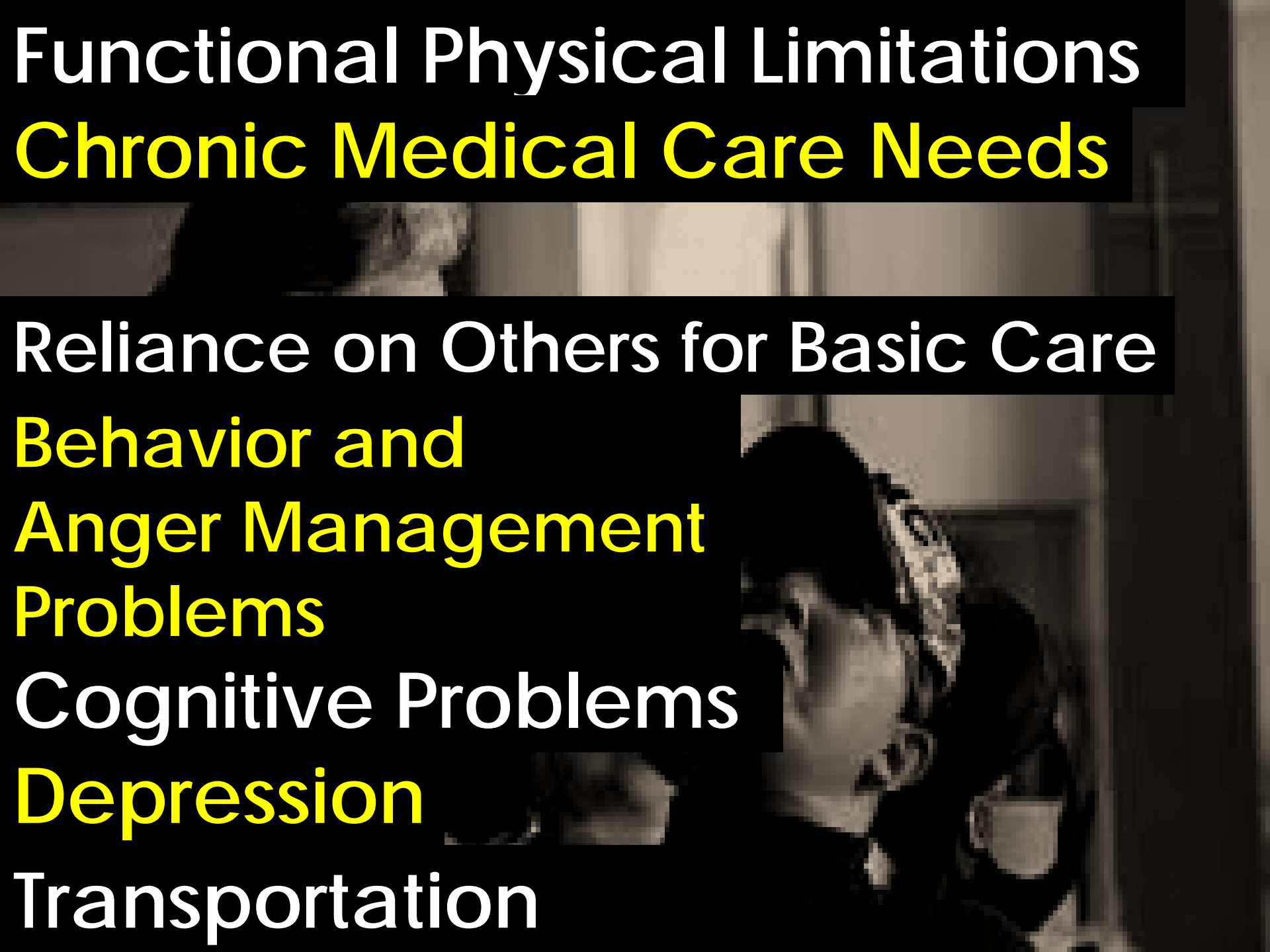
level of independence



family members  
perception of problems  
post-injury

# Functional Physical Limitations

## Chronic Medical Care Needs



Reliance on Others for Basic Care

Behavior and  
Anger Management  
Problems

Cognitive Problems

Depression

Transportation

the person and their  
loved ones have a  
different understanding  
of changes

why are there  
variances in the  
perception of changes  
and problems?



do the differences  
represent what is  
important to the  
person vs. their  
family's view?

# 37.3%

return to their  
primary social role  
without modifications

Source: Gainer, R., et al. (1997-Ongoing)

# 43.1%

experience a change  
requiring support and  
role modification

Source: Gainer, R., et al. (1997-Ongoing)



# 19.6%

experienced significant  
psychological problems  
requiring intervention

What can we **expect**  
of these cohorts as they  
**age?**

# Age and Brain Injury: Outcomes of Injury



# Facts: Age, Severity and Outcome

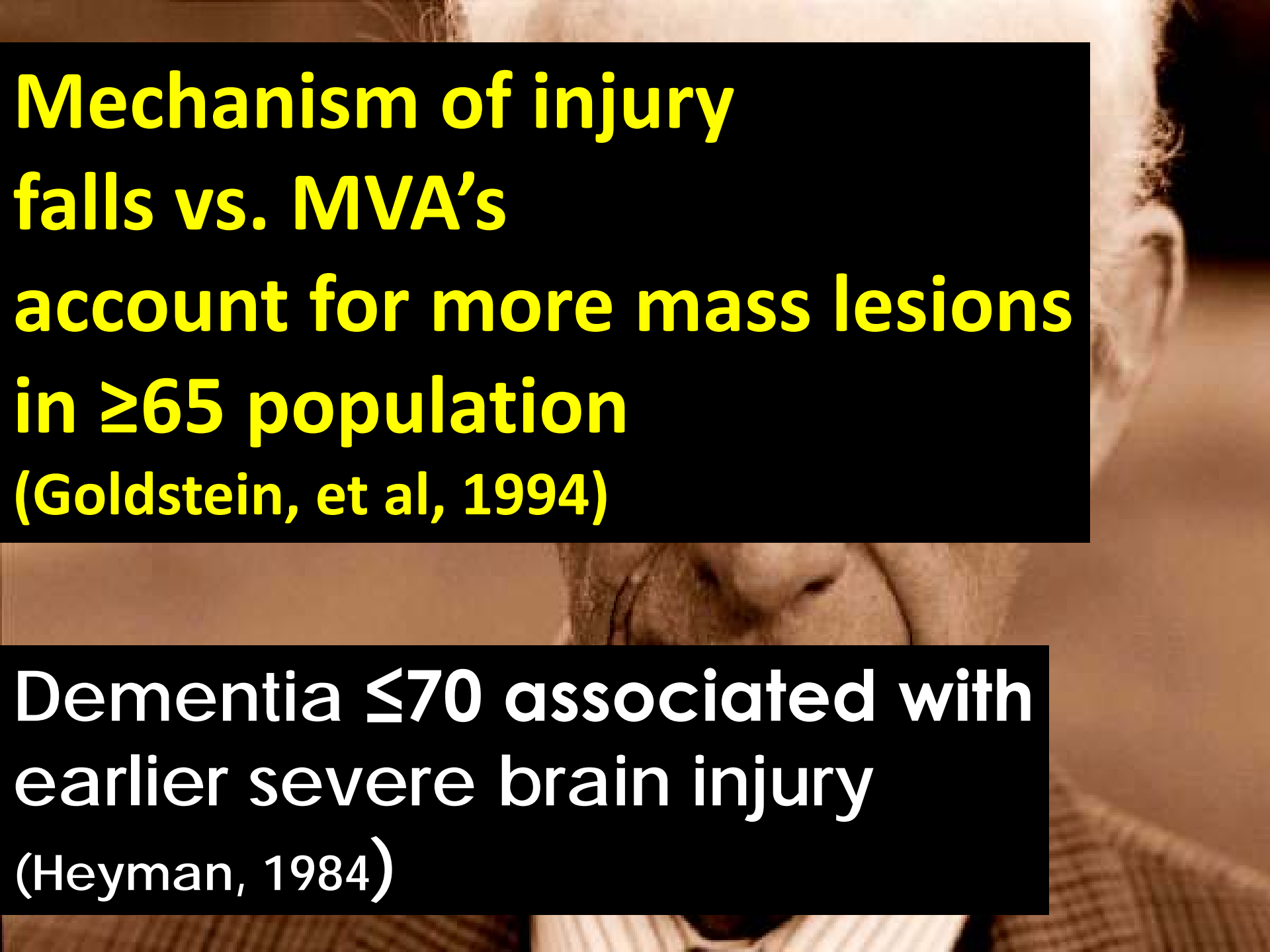
55% of individuals injured  
>65 were severely disabled  
or died vs. 86% of moderately injured  
<65 had good recoveries  
or required ADL assistance  
(Pentland, 1986)

# Age Severity and Outcome

A close-up, sepia-toned photograph of an elderly person's face. The person's eyes are closed, and their skin is heavily wrinkled, particularly around the forehead and eyes. The lighting is soft, highlighting the texture of the skin.

Two to five year post injury:  
>50 had longer hospital stays  
and were more dependent in ADL's  
and less likely to be working than <25  
(Davis and Acton, 1988)



A close-up, sepia-toned photograph of an elderly man's face, showing his ear, nose, and part of his cheek. The image is slightly out of focus, with the text overlaid on top.

**Mechanism of injury  
falls vs. MVA's  
account for more mass lesions  
in  $\geq 65$  population  
(Goldstein, et al, 1994)**

**Dementia  $\leq 70$  associated with  
earlier severe brain injury  
(Heyman, 1984)**

**Observation: Age at the time of injury is a significant factor in outcome**



Now, let's review a study involving individuals at the 15 year point post- moderate to severe brain injury and consider issues of participation and perception of quality of life

# Dawson and Chipman's study

Quality of Life for individuals with severe and high moderate brain injuries  $\geq 15$  years post-injury, living in urban and rural settings



47%

not using telephone



66%

need ADL assistance



75%

unemployed



61%

depression  
7+ yrs post-injury





57%

clinically significant  
depression



50%

anxiety & depression  
in severe TBI

**Why ?**

interference of symptoms

ability to self-manage

cognitive ability

physical functions

How does that appear  
over the course of  
time?



son



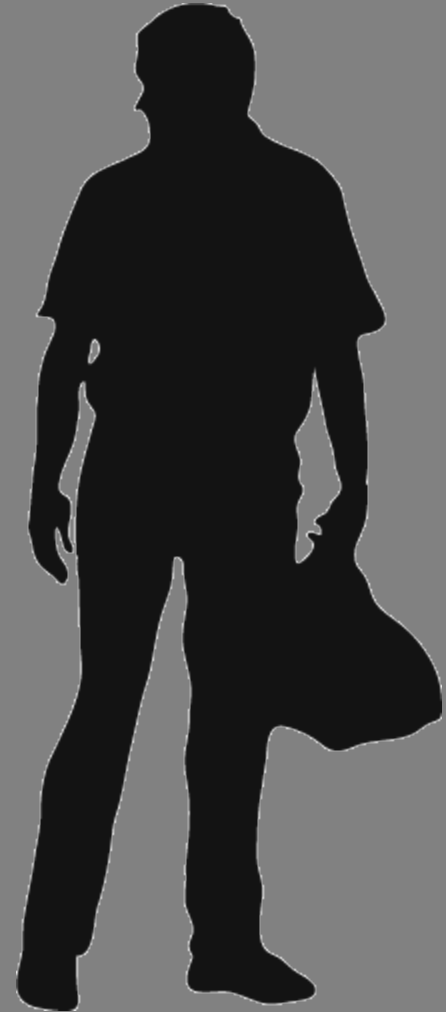
father



wife

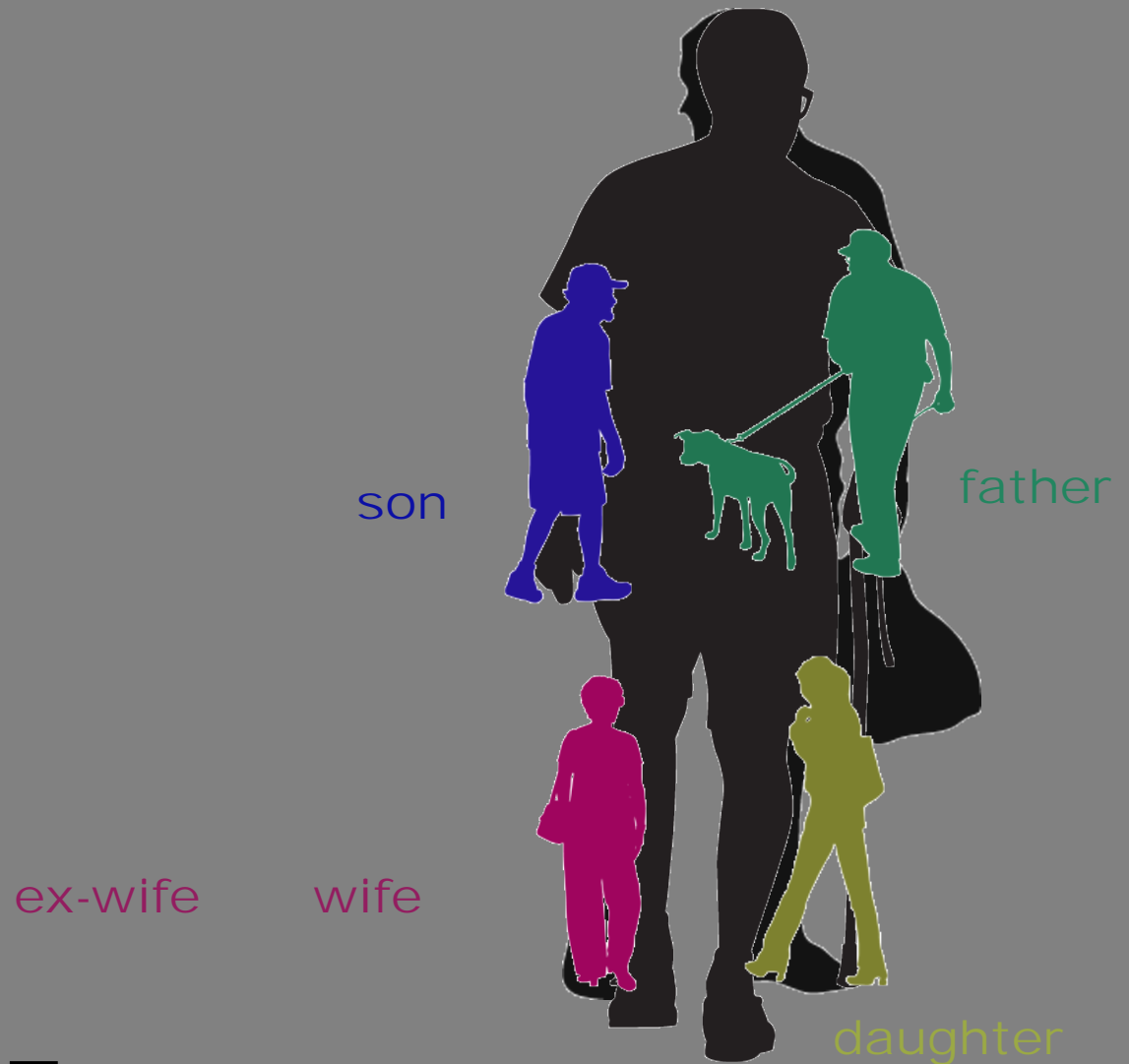


daughter



Meet Walter  
at 55

# Walter at 65



# Walter at 55

care and support  
needs

increase over time



fewer supports  
to provide them

# What about "Caregivers"?

Age/gender of caregivers

Health problems of  
caregivers

Physical capacity of  
caregivers

Financial Issues

Limited resources



# According to Caregiver Action Network

([http://caregiveraction.org/statistics/#Caregiving Population](http://caregiveraction.org/statistics/#Caregiving%20Population)):

More than 65 million people,  
29% of the U.S. population,  
provide care for a chronically ill,  
disabled or aged family  
member or friend during any  
given year and spend an  
average of 20 hours per week  
providing care for their loved  
one

*(Source: Caregiving in the United States; National Alliance for  
Caregiving in collaboration with AARP; November 2009)*

The value of the services family caregivers provide for "free," when caring for older adults, is estimated to be **\$375 billion a year**

*(Source: Evercare Survey of the Economic Downturn and Its Impact on Family Caregiving; National Alliance for Caregiving and Evercare. March 2009)*

That is almost **twice as much** as  
is actually spent on homecare  
and nursing home services  
combined (**\$158 billion**)

*(Source: Evercare Survey of the Economic Downturn and Its Impact on  
Family Caregiving; National Alliance for Caregiving and Evercare.  
March 2009)*

47% of working caregivers  
indicate an increase in  
caregiving expenses has  
caused them to use up ALL  
or MOST of their savings

*(Source: Evercare Survey of the Economic Downturn and Its Impact  
on Family Caregiving; National Alliance for Caregiving and  
Evercare. March 2009)*

Family caregivers  
experiencing extreme  
stress have been shown to  
age prematurely

This level of stress can take  
as much as 10 years off a  
family caregiver's life

*(Source: Elissa S. Epel, Dept of Psychiatry, Univ of Calif, SF, et al,  
From the Proceedings of the National Academy of Sciences, Dec  
7, 2004, Vol 101, No. 49.)*



# Loss of independence is costly

Housing Choice

Returning to live with parents or family in a dependent status

Difficulty in accessing services outside of the home

# **Loss of independence is costly**

**Difficulty in obtaining TBI support services**

**Finding resources with brain injury expertise**

**Economic changes**

Disability and  
loss of role  
function  
produces a  
decline in self-  
worth as  
perceived by  
the person and  
others



isolation and  
social  
withdrawal  
stifle  
interaction





aging hides TBI

Health risks  
increase  
with age



Individuals living with a brain injury disability and have **limited financial resources** are more likely to experience **health problems**

# Hospitalizations

Admission issues change  
over time



# Long term healthcare resource utilization

Severity of injury, physical/cognitive and psychosocial disability all predict service utilization

Individuals 6-48 months post injury used services related to restoration of function

Individuals 72- 204 months post injury used services in response to life changes such as loss of relationship or caregiver

Hodgkinson, 2000

# TBI and Re-hospitalization

## 3 Years Post Injury

50% of admissions for orthopedic and reconstructive surgery

15% for seizures

Psychiatric hospitalizations doubled in years 1-2, leveling off in year 3

# TBI and Re-hospitalization

## 5 Years Post Injury

Orthopedic and reconstructive surgery admissions declined

Incidence rate for seizures and psychiatric admissions increased

# Costs of Care Increases With Age

TBI costs associated  
with acute care  
increased at **twice the  
rate for general  
medical care**

(Kreutzer, 2001)

**Increased motor  
disability** associated  
with total charges

(Vangel, 2005)



# Costs of Care Increases With Age

Coping and adaptive strategies learned in rehabilitation **fail as individuals become middle aged and senior citizens** for mild to moderate injuries

(Klein, 1996)





What are the barriers?

**Financial, structural, individual,  
and attitudinal barriers** directly  
impede individuals' abilities to  
access rehabilitation services  
even though these services  
could greatly improve their  
recovery from TBI

few resources that  
support  
independence



Does *limited* access  
to adequate  
financial resources  
accelerate  
problems?

# The high cost of a bump on the head

Highest rate among 15-19  
year old Males:  
550/100,000 vs 115/100,000

**The high cost of a  
bump on the head**

**Increased survivability for  
younger individuals**

# The high cost of a bump on the head

Lifetime costs projected  
\$4.5 to 5 million

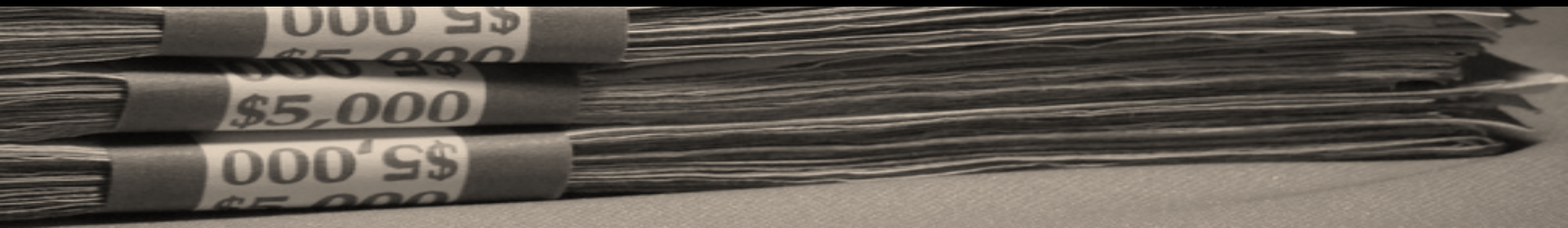
(Bilmes, L, 2007)

and \$8 to 17 million

(Livneh and Antonak, 1997)



**\$17 million?**



**Will outcomes  
change in the future?**

The challenge of today's  
survivor

**“Sicker and  
Quicker”**

**17** days of acute  
medical care in 2012  
vs.

**57** days in 1990 for  
high moderate to  
severe injuries

Source: Ashley, M. (2012)



# The Future Problems and Planning

Today's injuries  
tomorrow's aging  
with a disability

# More People Survive, Less Resources to Share

“Sicker and Quicker” reduced stays in acute medical care

More survivors with greater disability levels and comorbidities

Increased lifetime costs associated with severity and longevity

# Today's Injuries

# Tomorrow's Disabilities

**Increase in medical technology** preserves life for individuals with severe injuries

**Increase in survivorship** increases the extent and level of disabilities experienced by people

Improvements in healthcare **extends the lifespan** of people living with disability

Are the resources  
available to **support**  
**people** as they age with a  
brain injury?

**What resources are  
needed?**

# Aging and Brain Injury: Addressing Long Term Needs

Increase availability of  
accessible housing,  
transportation and  
community supports

Eliminate healthcare  
disparities

# Aging and Brain Injury: Addressing Long Term Needs

Provide economic supports and income supplements to avoid disability based poverty

Provide lifetime supports for caregivers and family members

# Aging and Brain Injury: Addressing Long Term Needs

Address critical transition events  
which trigger crises and problems

Make available professional  
healthcare resources who can  
address the issues of aging with a  
brain injury

How do you address the problems associated with aging with a brain injury?



Thank you!

This presentation can be  
downloaded at  
**[traumaticbraininjury.net](http://traumaticbraininjury.net)**  
Look under “Resources”  
on the header, then  
“Community  
Presentations”



# Resources

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# Resources

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# Resources

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