

“The Triple Whammy”

Barriers to Outcome: Brain Injury, Psychiatric Disorder and Substance Use

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Injury, Psychiatric Disorder and
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Disclosure

- Rolf B. Gainer, PhD, is the Founder of NRIO and its Chief Executive Officer from September 1993 until September 2016.
- He thanks Bayshore Healthcare, the operator of NRIO, for their support of his participation at this conference.

- Dr. Gainer is the Vice President of Rehabilitation Institutes of America and has served as the Chief Executive Officer of Brookhaven Hospital since 1993. Dr. Gainer is a Founding Board Member of Community NeuroRehab.
- Rolf B. Gainer, PhD, has business relationships with Brookhaven Hospital, Community NeuroRehab of Iowa and companies related to those organizations. Dr. Gainer is a shareholder in companies related to the non-clinical operation of NRIO.
- The Outcome Studies conducted by NRIO, Community NeuroRehab of Iowa and Brookhaven Hospital are supported by those organizations and receive no other public or private grants or funding.

- **To review the key studies involving people living with brain injury and co-occurring mental health disorders**

- **To understand the significance of social role return in long-term outcomes from brain injury**

- **To identify resources needed to prevent aspects of psychosocial problems which effect quality of life and health**



What's in the Triple Whammy?

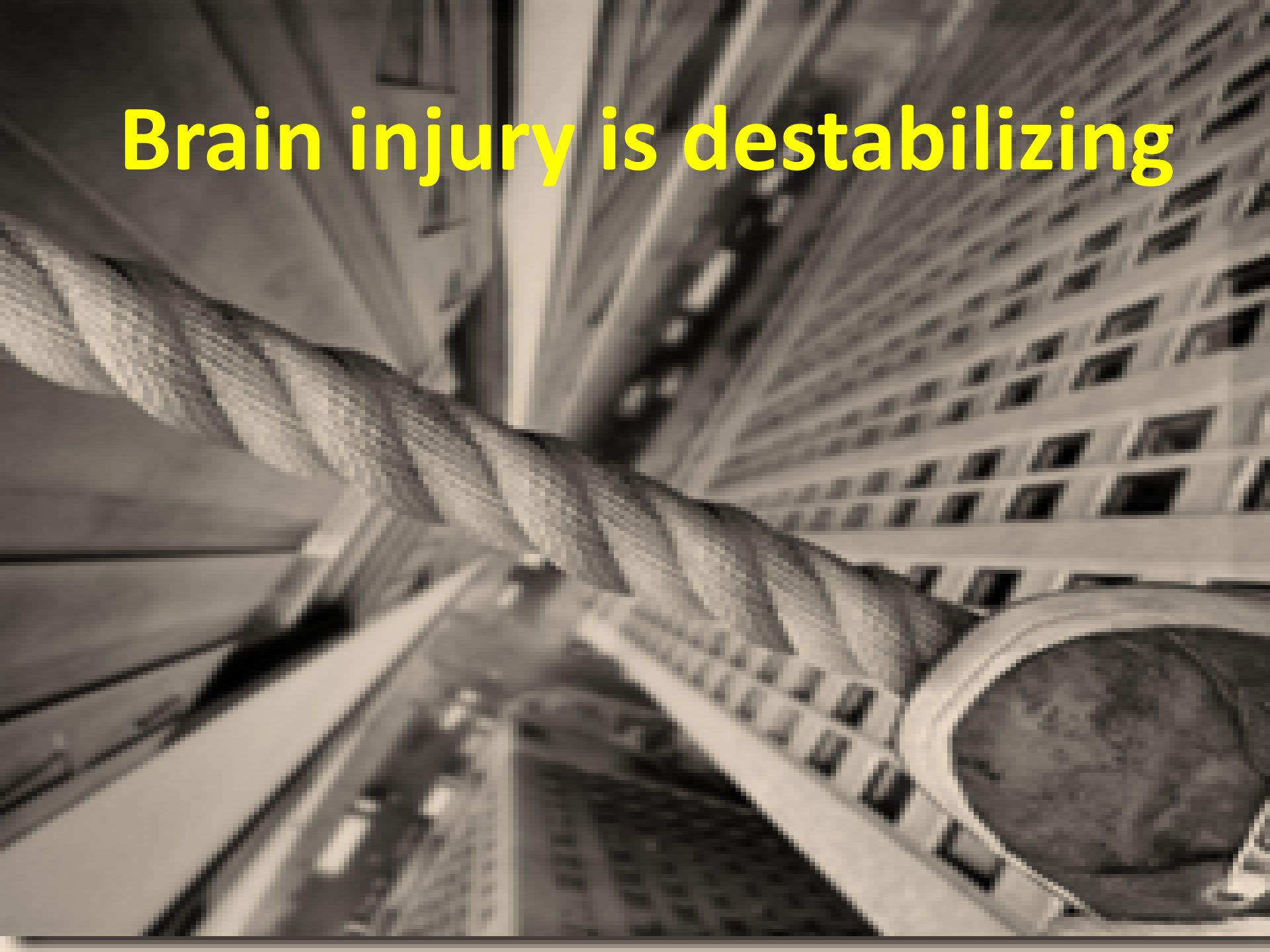
Brain Injury

Psychiatric Issues

Substance Abuse Problems

**all three serve as
risk factors**

Brain injury is destabilizing



**“I felt I was different, couldn’t
put my finger on it... absorbing
it internally, it was something
wrong with me”**

**“The tragedy of the human brain
is that it is aware of what it has
lost and where it is headed-both
at the same time”**

Walter Mosley, “When the Thrill is Gone”, 2011



Defining the post-injury experience



**Ambiguous
loss creates
stress and
defies closure**



**Uncertainty
about self**



**The erosion
of sense
of
competency
and self
worth**



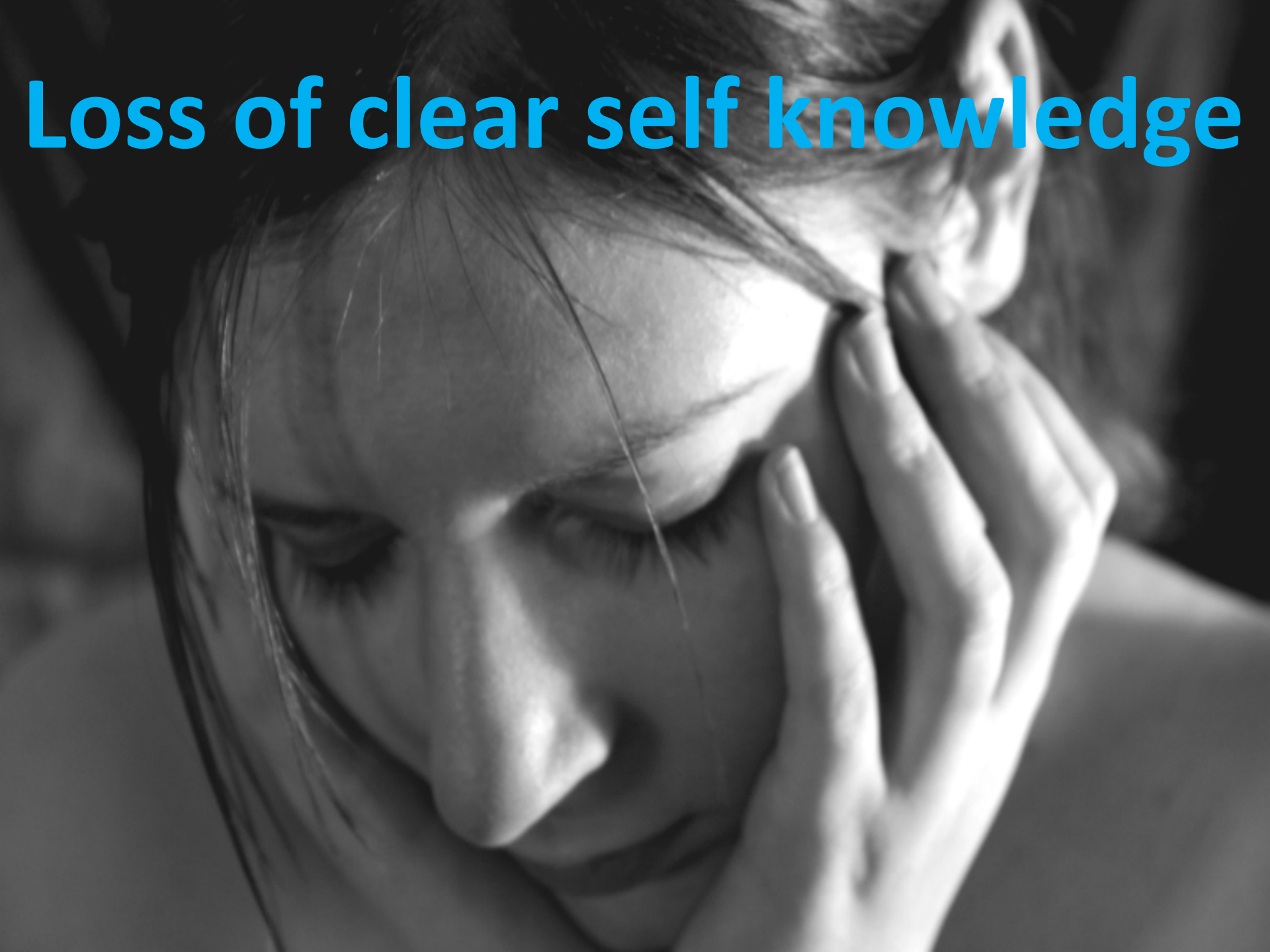
**Struggling
with issues
of post-
injury
identity**

Three categories of loss

A black and white close-up photograph of a woman's face. She is looking down, and her right hand is raised towards her eye, with fingers slightly curled. Her expression is one of deep sadness or grief. The lighting is soft, highlighting the contours of her face and the texture of her hair. The background is dark and out of focus.

Nochi, 1998

Loss of clear self knowledge



Loss of self by comparison



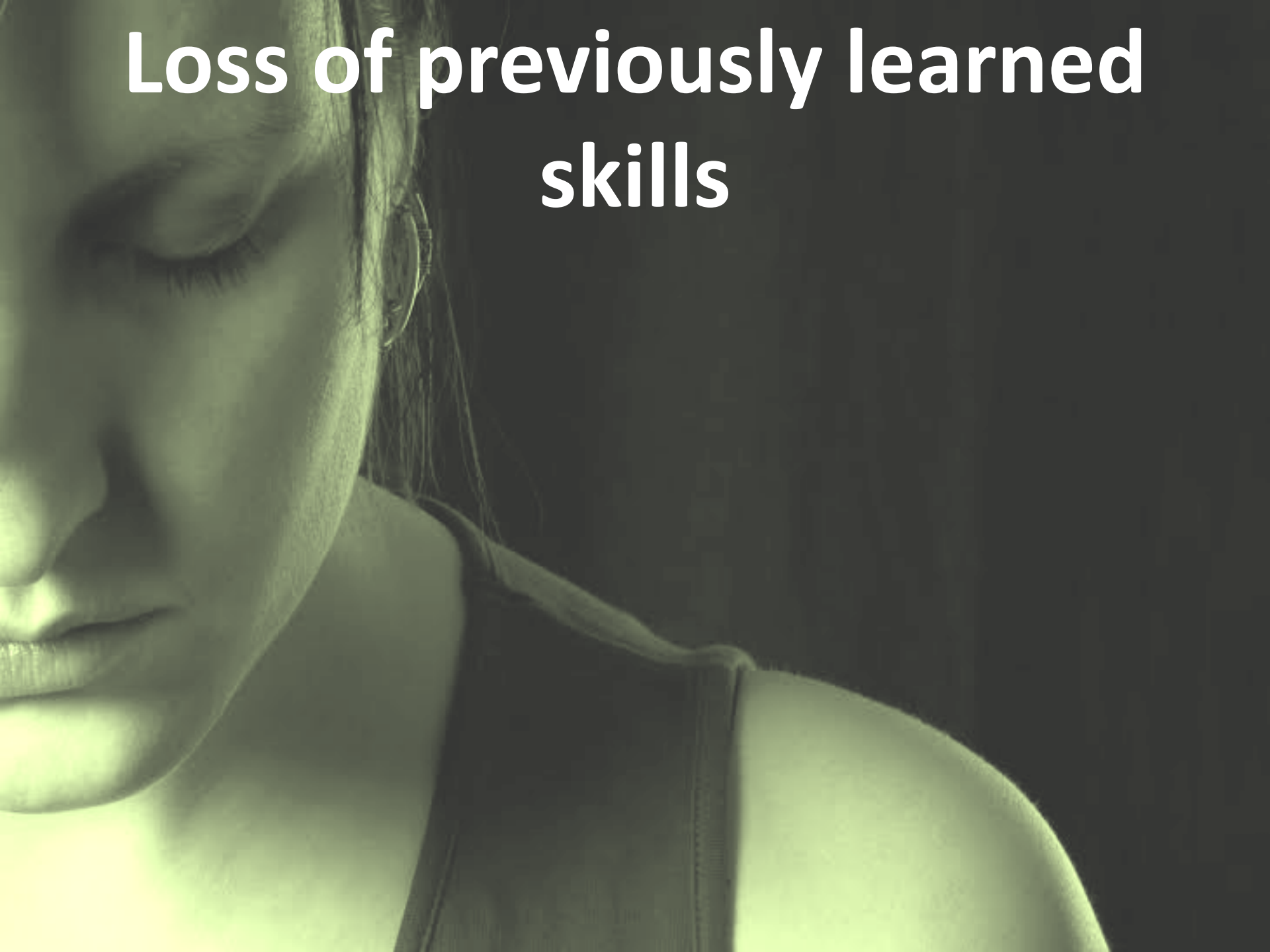
Loss of self in the eyes of others



**Loss of one's sense of
competency**



Loss of previously learned skills





Loss of life focus

**The Chicken or the Egg:
which comes first**

**Brain injury increases
the risk for
homelessness**

**Homelessness increases
the risk for brain injury**





**What about
the
person who
doesn't fit?**



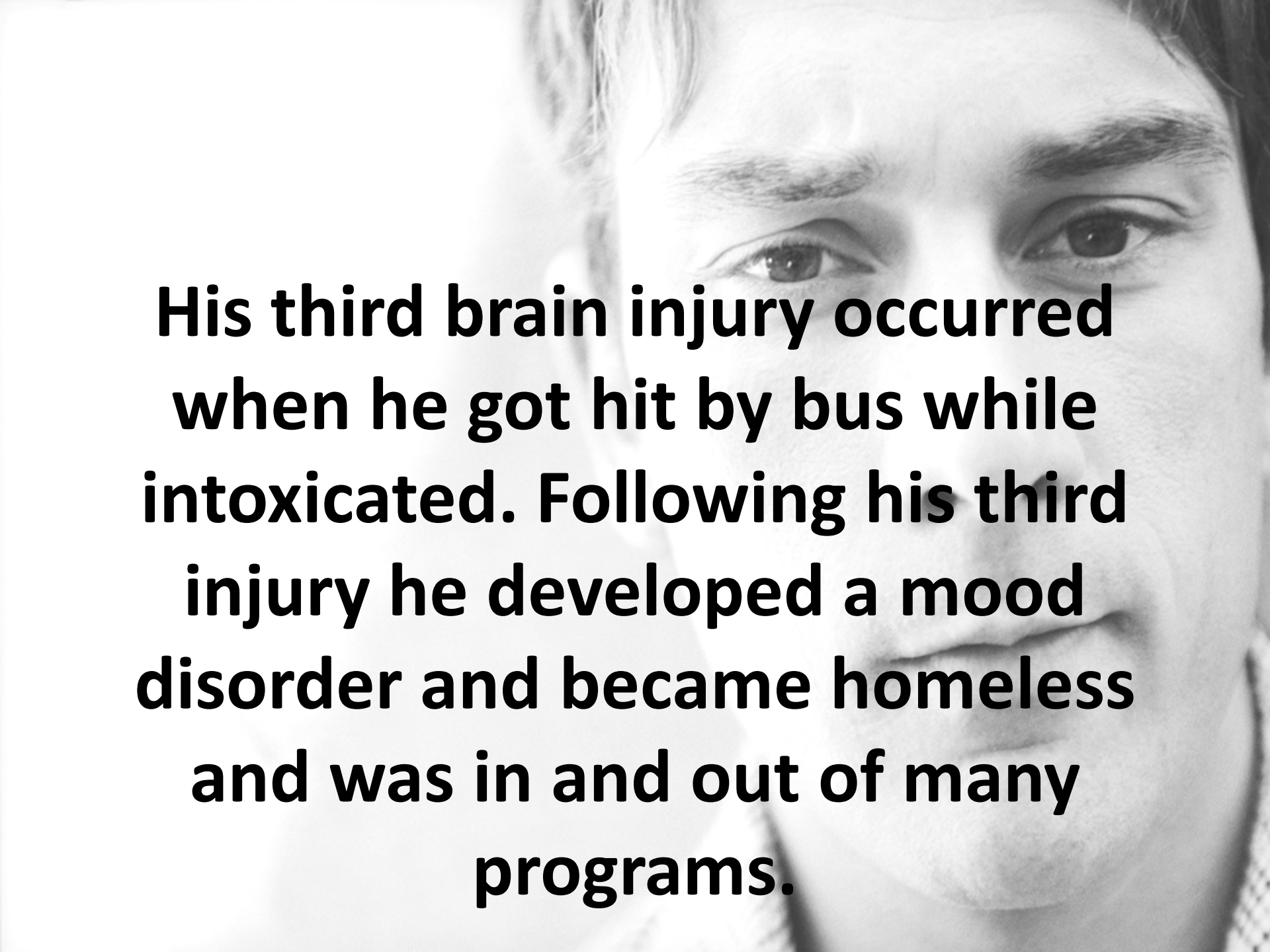
Karl

**An artist with a Mood
Disorder, Substance Abuse
and 3 Brain Injuries**



Karl's story

A long standing “drinker”. Karl's first two brain injuries came from beatings which caused memory and concentration problems. He has digestive problems and doesn't remember to take his medications.



**His third brain injury occurred
when he got hit by bus while
intoxicated. Following his third
injury he developed a mood
disorder and became homeless
and was in and out of many
programs.**

The problem of the Triple Whammy

Karl is in the minority. His brain injuries, mood problems and substance abuse put him outside of the reach of many programs

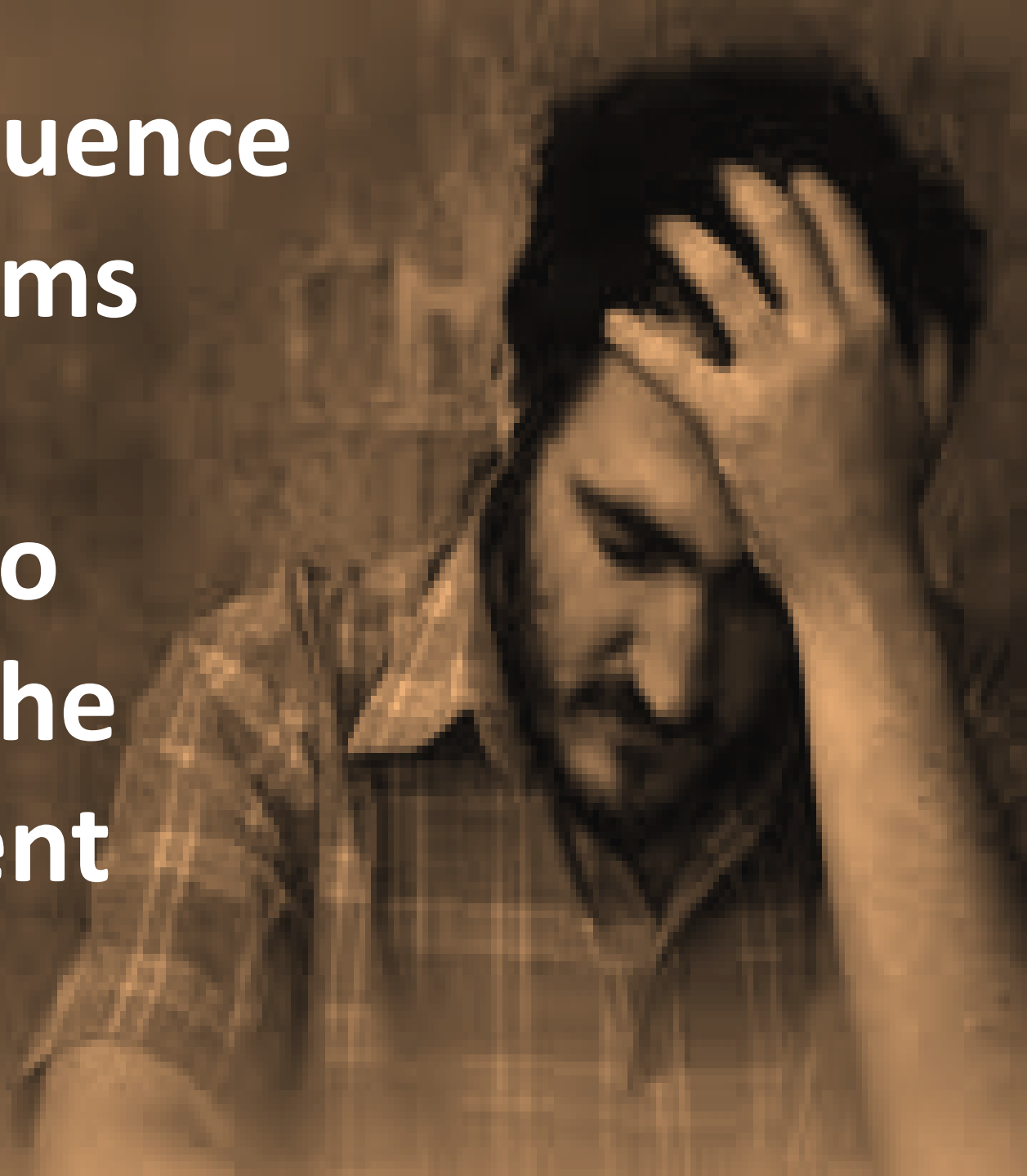
One problem exacerbates the other

**Alcohol use is frequently seen in
people with brain injuries**

**Pre-injury alcohol use increases the
likelihood of post-injury mood
disorders**

Arch of General Psychiatry, 2005

**The confluence
of problems
makes it
difficult to
identify the
component
issues**



Mental health and brain injury share common problems

Memory, difficulty with concentration, anger control problems and initiating activity



**Brain injury may take three
times longer to treat than
mental health and substance
abuse issues**

**Adding to the potential for
dropping out**

**People with brain injury
fail in mental health and
substance abuse settings**

**Cognitive problems interfere with
selecting and maintaining alternative
behaviors.**

**The cycle of treatment
may take five years**

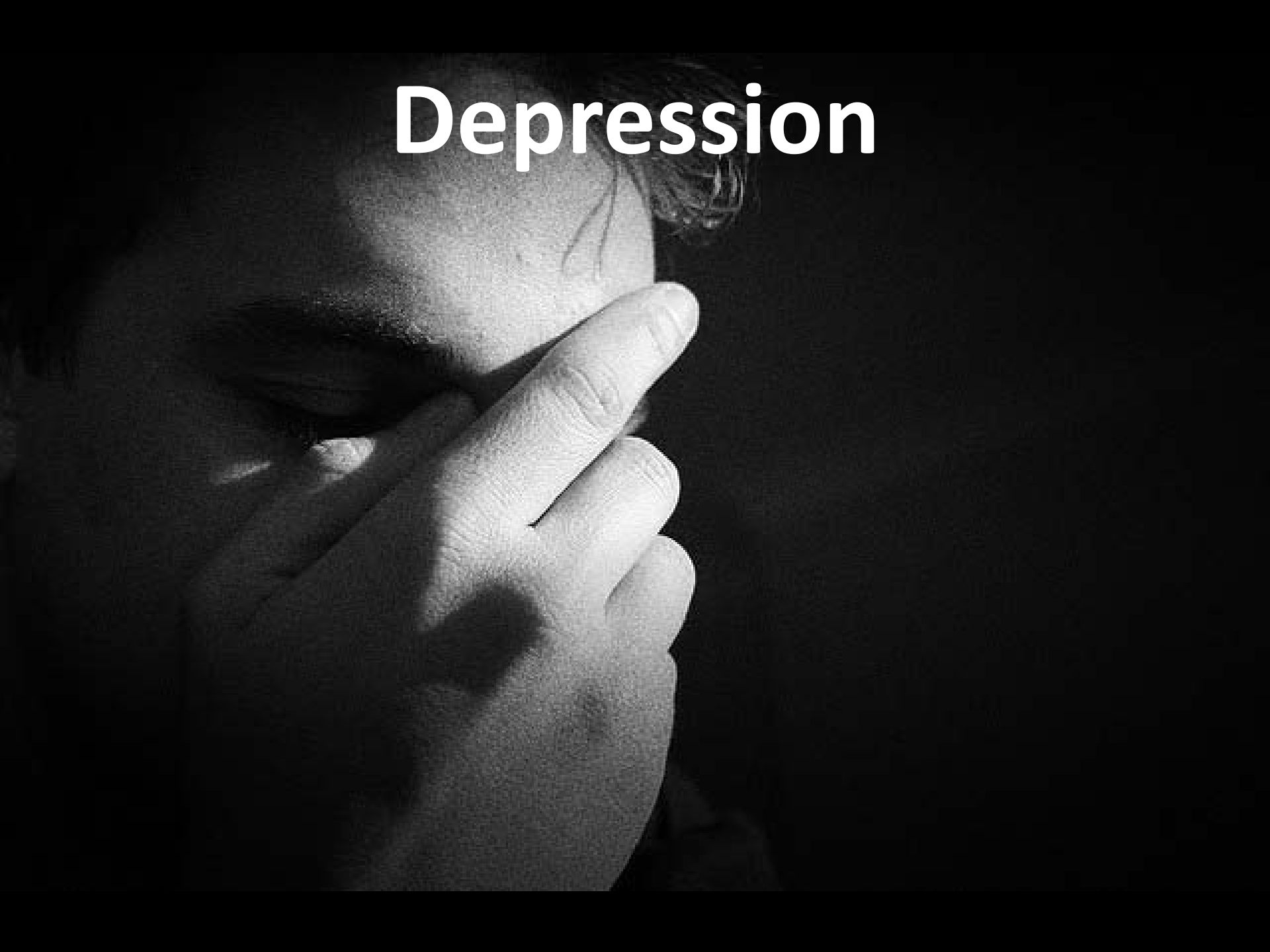
**Ample time for people to fall
through the cracks**

**Can we look at long-term
outcomes for the person
through a different lens?**



**What are the mental
health issues?**

Depression



Hopelessness



Anxiety



Mood state problems



Risk for Suicide



Substance abuse





**Irritability, anger and
aggression**

High risk behaviors



**The chronic nature of brain injury
related disability effects the
person throughout their lifetime**

**What do the research studies
tell us about brain injury and
future mental health
problems?**

HMO Study of mental health issues

- Severe TBI related to higher rates of depression (MDD), dysthymia, OCD, phobias, panic disorders, substance abuse/ dependence, bipolar disorders as compared to the non-TBI group
- “Poorer physical or emotional health and higher likelihood of receiving welfare for the TBI cohort”
- Negative symptoms of psychiatric disorders enforce social isolation and social network failure

Monash University Study: Likelihood of post-injury psychiatric disorders

- **Psychiatric disorders occurring in 60% of the post-injury population in a 5.5 year period**
- **Greater likelihood of psychiatric disorder found in relationship to pre-injury substance abuse, major depressive and anxiety disorders**

Functional Outcomes 10 years after injury

- High levels of anxiety and depression = poorer outcome attainment
- Level of ability to participate = poorer outcomes
- Social isolation related to functional deficits
- Psychiatric diagnosis and cognitive deficits are best regarded as components rather than outcomes

30-year study of mental health issues and brain injury

- **Temporary disruption of brain function leading to the development of psychiatric symptoms**
- **Increased, long-standing vulnerability and even permanent psychiatric disorder**

R. Van Reekum's Study

- **Depression found in 44.3% - 50.0% of cases over a 7.5 year period**
- **Anxiety Disorders found in 9.1% - 16.6%**
- **Substance abuse in 27.7%**
- **Personality Disorders in 12.7%**
- **Denial of symptoms could prevent an understanding of cognitive, emotional and behavioral difficulties**

Source: van Reekum, R. et al. (1996); van Reekum, R., Cohen, T., Wong, J. (2000).

Fann et al: Self perception

- **Individuals with both depression and anxiety perceived themselves as more ill and demonstrated reduced function as compared to cohort with anxiety without depression**



Meichenbaum's Study

- **70-80% of people exposed to trauma recover successfully**
- **20-30% continue to experience lingering clinical disorders and adjustment problems such as PTSD, anxiety, depressive and substance abuse disorders that can result in suicidal acts, aggressive behavior and divorce.**

Is the person with a **brain injury** and **a dual diagnosis** more likely to experience **psychosocial** and **social role** return problems?



**What about social role
return?**

**Is it a determinant of
potential mental health
problems?**

**Brain injury with psychiatric
and/or substance abuse
problems will impact on the
person's long-term
outcomes**

**Let's look at another person
with the Triple Whammy...**

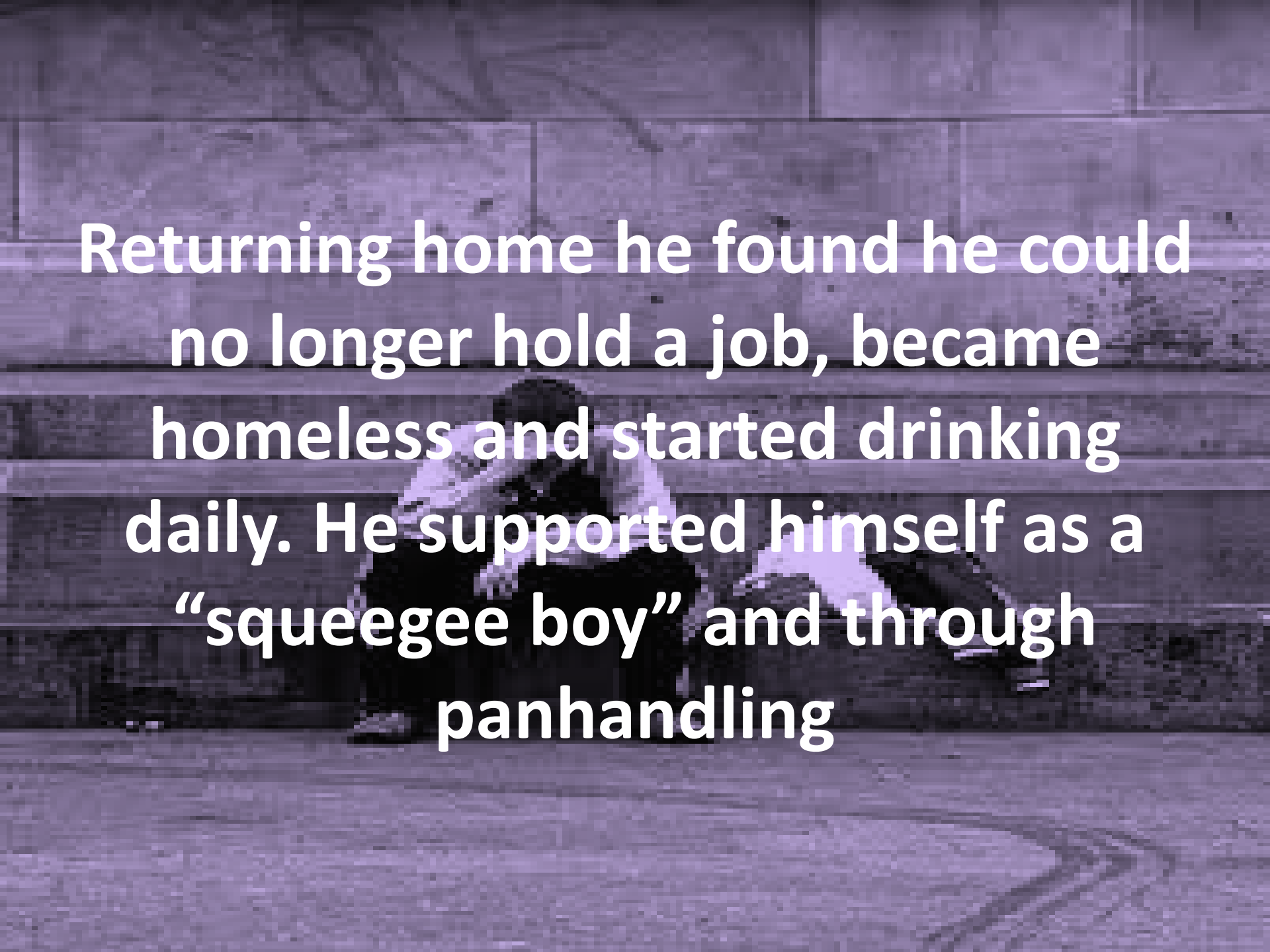


Dan

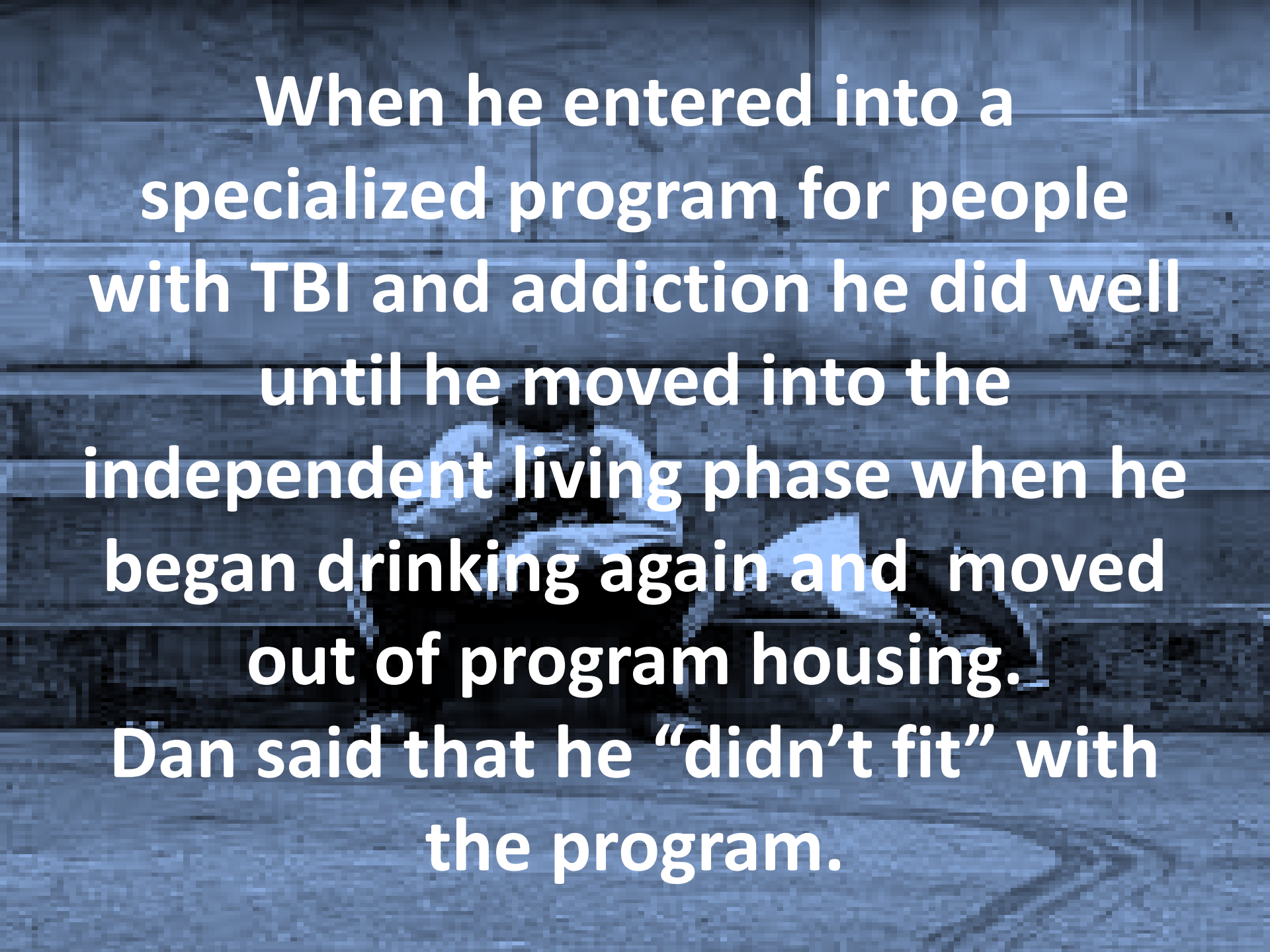
**A skilled carpenter, Dan
could no longer sustain
employment after his brain
injury**

Dan's story

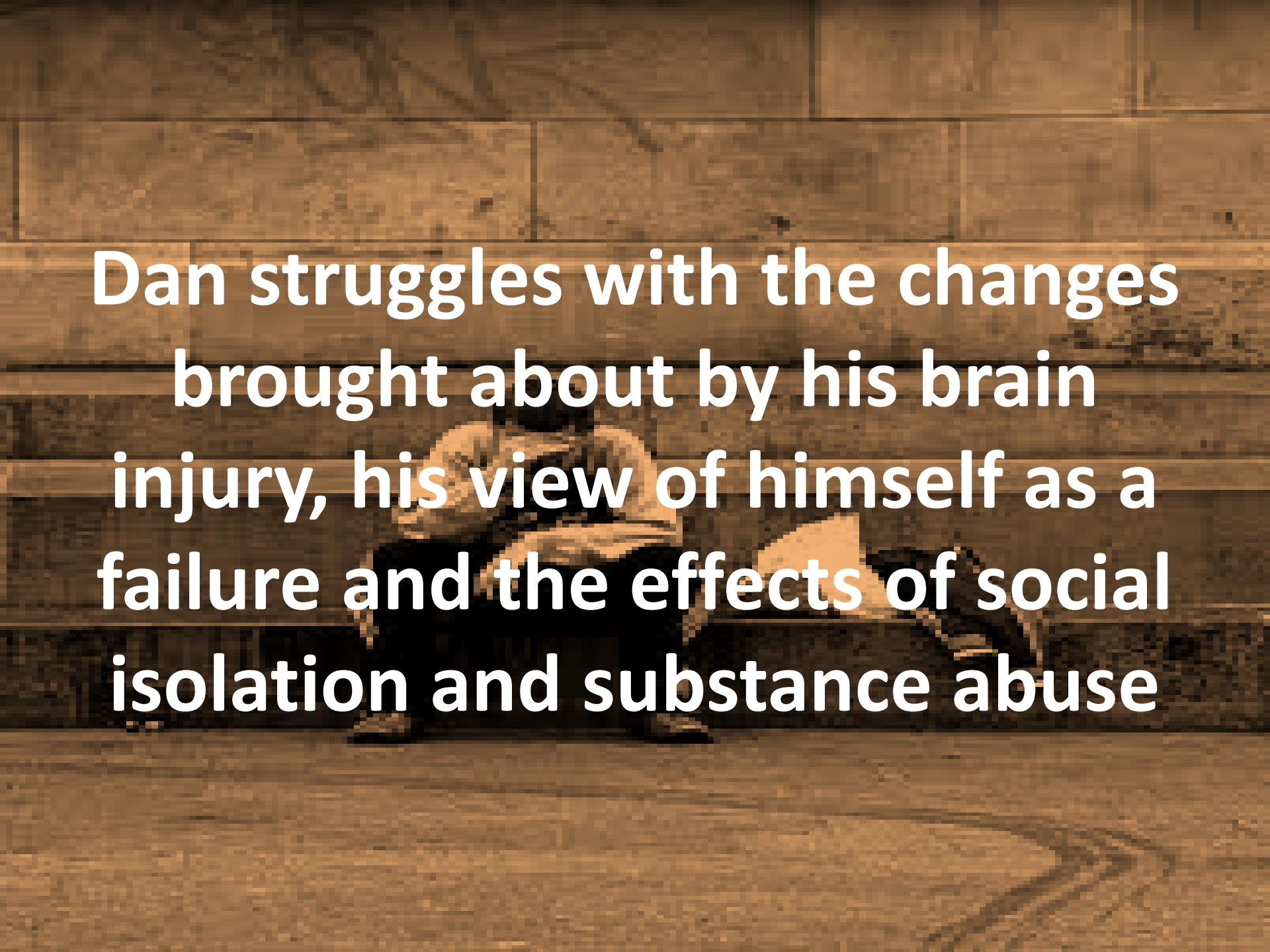
Prior to his TBI Dan worked in construction as a finish carpenter. After the accident he left the hospital prior to entering brain injury rehabilitation.

A person wearing a dark coat and hat is walking away from the camera on a sidewalk. The background is a blurred city street with buildings and trees. The text is overlaid on the image in a white, sans-serif font.

Returning home he found he could
no longer hold a job, became
homeless and started drinking
daily. He supported himself as a
“squeegee boy” and through
panhandling

A person is sitting on a park bench, looking down. A large blue arrow is superimposed on the image, pointing from the person towards the right side of the frame. The background is a blurred park scene with trees and a path.

When he entered into a specialized program for people with TBI and addiction he did well until he moved into the independent living phase when he began drinking again and moved out of program housing. Dan said that he “didn’t fit” with the program.

A person is sitting on a wooden bench, looking down. The background is a wooden wall. A large white text overlay is present in the center of the image.

**Dan struggles with the changes
brought about by his brain
injury, his view of himself as a
failure and the effects of social
isolation and substance abuse**

**Does brain injury disability create
“a cloak of competence”?**

For the person ?

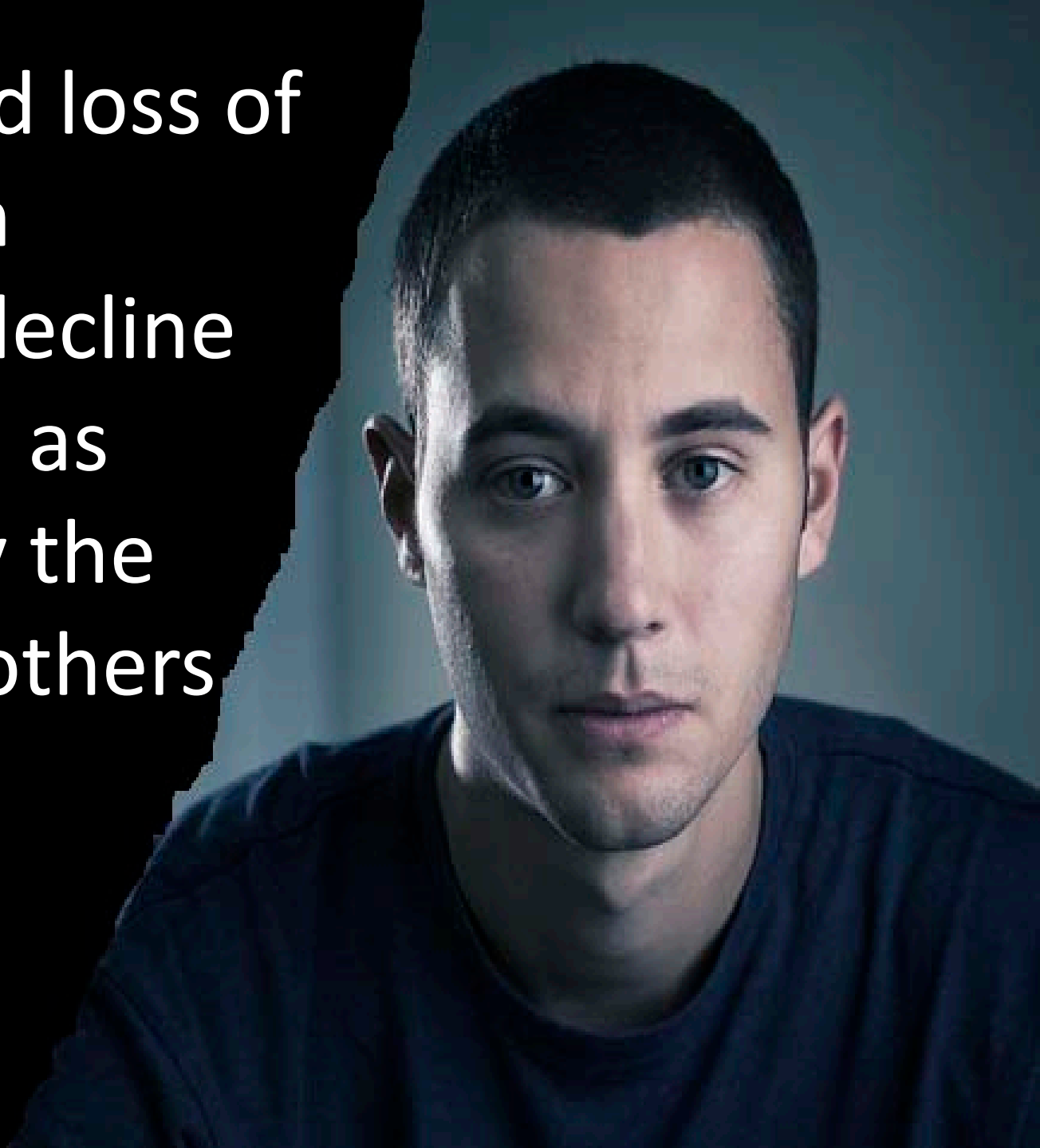
And, in the perception of others?

**“I had to struggle with living
with an invisible disability.
Once the external wounds
heal-brain injury is never
considered to be an issue”**


**“It was hard to hang out
with my friends. Somehow
we weren’t the same
anymore. It was easier to
be alone”**

**“ I thought about killing myself
a lot. I went up to the roof and
thought about jumping, or
taking an overdose. It was
impossible to tell my family
about how I felt”**

Disability and loss of
role function
produces a decline
in self-worth as
perceived by the
person and others



Source: Condelucci, A. (2008)



Depression and loss disrupt the person's sense of social stability

Source: Frank, et al. (2005)

Grief for the loss of the healthy self

A black and white photograph of a person in profile, looking down with their hand near their face, suggesting a state of grief or contemplation. The person's face is partially obscured by their hand, and the lighting is dramatic, with strong highlights and deep shadows.

Frank, E et al (2005)

What has happened to me?

A man in a dark suit and tie is shown from the chest up, leaning against a textured wall. He has his right hand pressed against his forehead and eyes, with his head bowed, conveying a sense of despair or exhaustion. The entire image is overlaid with a semi-transparent red filter.

Recognizing the changes
to competencies and capacities

“It’s me, but it’s not me”

**Struggling with insight into
deficits and changes**



“It’s not the same person”

**Dealing with responses
from others**



Experiencing withdrawal and isolation

A man in a dark suit and tie is shown from the chest up, leaning against a textured wall. He has his right hand pressed against his forehead and eyes, with his head tilted down, conveying a sense of despair, stress, or withdrawal. The entire image is tinted with a monochromatic blue color.

From others
By others

Travis



Travis came from a troubled family and had long standing learning problems. He drifted into substance use at an early age and experienced a severe brain injury at 19.

Travis' Story

Travis had difficulty in school and with learning. He was diagnosed with ADHD in elementary school and by 6th grade was missing school and started using drugs. By 18, Travis was living on the streets and engaged in sex work. His meth and alcohol use was daily.

At 19, Travis had a Traumatic Brain Injury when he was struck by a city bus when he slipped from his skateboard . He resisted rehab and continued to use meth and alcohol to manage his fluctuating mood states.

**Travis didn't fit the substance
abuse programs and his non-
compliance affected his
participation in brain injury
rehabilitation.**

Karl, Dan and Travis represent a group of individuals with brain injury, substance use/abuse and psychiatric issues who “don’t fit” the traditional models.



**Once homeless, all three
men were caught in a
cycle of failed treatment**



By creating a stable living situation with supports knowledgeable in TBI, mental health and addictions the cycle can be stopped.



Dan, Karl and Travis illustrate the problems with TBI, homeless and mental health issues and inadequate treatment and intervention





Is it “one
size
fits all”?

**How can we think about
the problem differently?**

Resources = Outcomes



Karl found an apartment with supports for his mental health and alcohol problems. He began to paint and sculpt again.

**Dan never was comfortable
with programs and services. He
chose to remain on the streets
where he survives by
panhandling**

A Case Manager realized Travis' complex problems and found him a place for treatment which could address his substance abuse, psychological problems and brain injury.

**Their problems represent
barriers to positive
outcomes**



What are the barriers?

Can **the system**
accommodate the **complex**
needs of the **person**
post-injury?

**Is there access to Brain
Injury Rehabilitation?
Mental health services?
Substance Abuse
Treatment?
Housing?**

**Are there adequate
resources to meet the
real needs of the person
living with a dual
diagnosis?**

Do the resources include:

appropriate healthcare

extended rehab

accessible housing

transportation

community supports

adequate income

Inappropriate services
result in **poorer outcomes**
over time...

including an increase in
psychiatric disorders,
chemical dependency and
**increased vulnerability and
risk**

**And, can cause the person
to experience frequent
crisis events and re-
hospitalization,
incarceration or injury**

**What about services
after rehabilitation?**

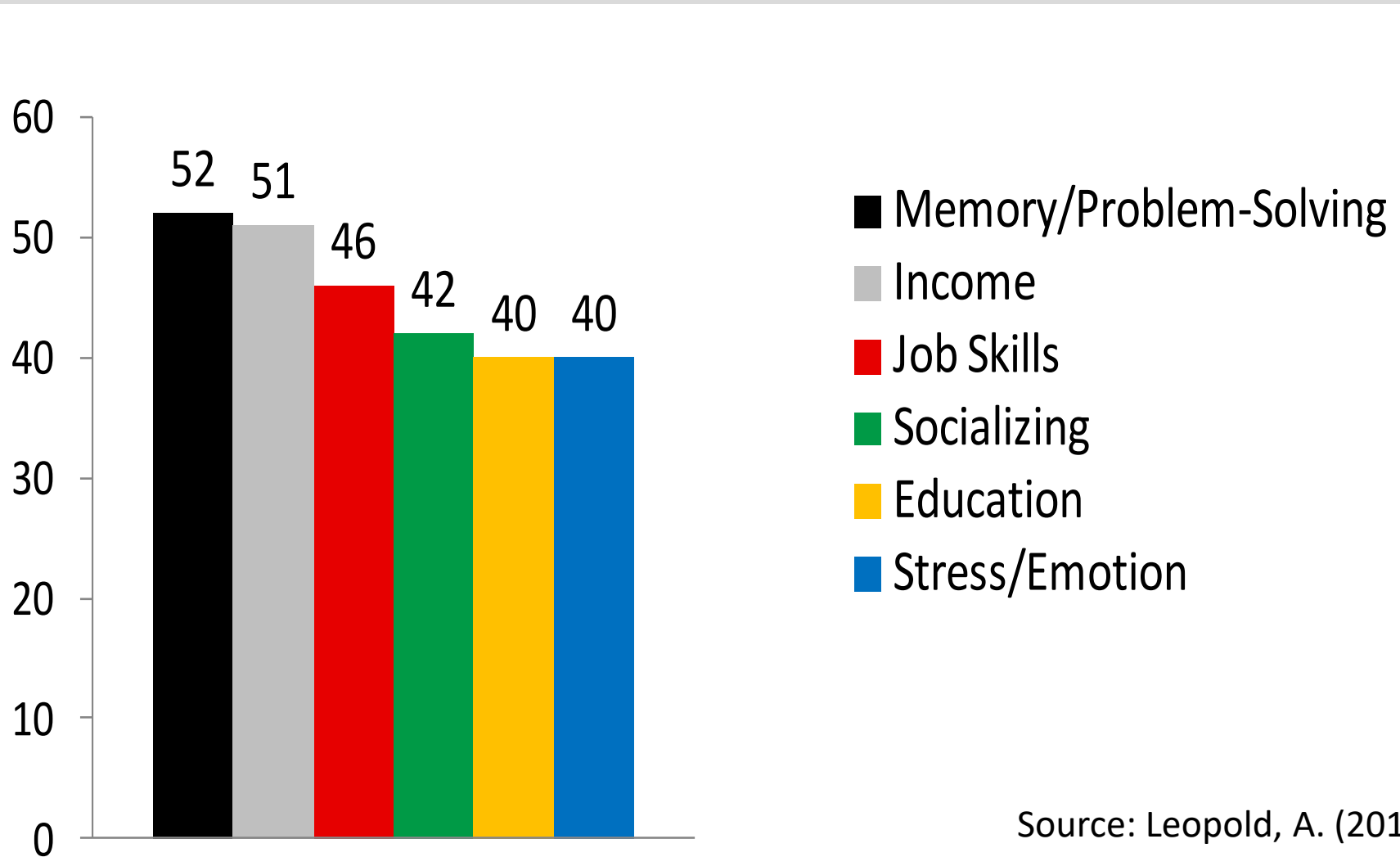
**To sustain the gains made in
rehab**

To deal with new problems

**What can we learn from the
research studies which
identify barriers?**

**Financial, structural, individual,
and attitudinal barriers directly
impede individuals' abilities to
access rehabilitation services
even though these services
could greatly improve their
recovery from TBI**

Medicaid recipients reporting “unmet needs”



Source: Leopold, A. (2013)

**Do people with unmet needs
find themselves
in crisis situations?**

Housing

There is “an unrelenting rental **housing crisis** for extremely low-income **people with disabilities** in every single one of the nation’s 2,557 housing market areas.”

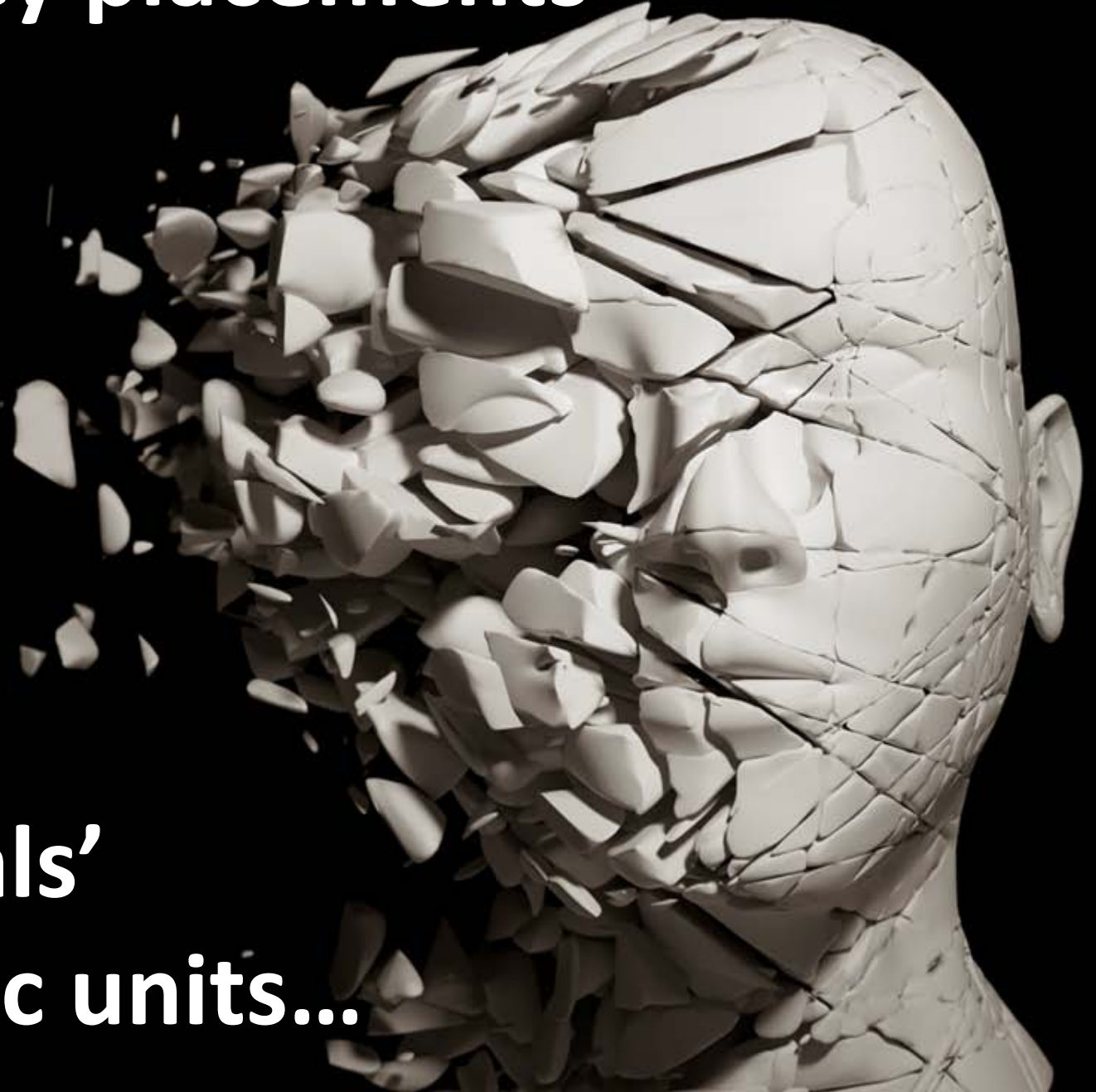
Source: Cooper, Emily, L. Knott, et al. 2014

**Stability in housing is vital
to community living**

**Services in the home and
community can prevent a loss
of independence**

The gap in services
between hospital and home
can result in...

emergency placements



**in hospitals'
psychiatric units...**

nursing homes...

jails...

homeless shelters



**None of these are equipped
to recognize and/or treat
Brain Injury...**

**...and, certainly do not offer
realistic long term solutions**

**Let's look at outcome data from
two organizations which serve
individuals with complex needs
and high risk for psychosocial
complications**



the NRIO study

**let's look at the issues with adults
with a TBI and a psychiatric disorder
prior to post-acute rehabilitation**

**NRIO Outcome Study, Adult Cohort
1997-2014**

Source: Gainer, R., et al. (1997-Ongoing)

the people over the course of the study:

641 tracked from 1995-2014

Average age: 32.0

Age Range: 2.11 to 78.7

100% Severe TBI

90.5% MVA

Social Role Return

Independence/Support Level

Vocational/Avocational Activities

Mental Health and Substance Abuse Issues

Durability of Outcome

the NRIO cohort

- age at injury 32.0
- GCS <9 83.3%
- male/female 68.3% / 31.7%
- period from injury to post-acute 25.00 months
- % MVA related 90.5%

2.5 years post injury
prior to admission



***substance
abuse***





33% legal problems due to social
behavior & judgment



45%

problems with spouse or
significant other



88%

Problems relating to/
maintaining friends



36% post-injury substance abuse

37.3%

return to their
primary social role
without modifications



Source: Gainer, R., et al. (1997-Ongoing)

43.1%

experience a change
requiring support and role
modification



Source: Gainer, R., et al. (1997-Ongoing)



19.6%

experienced significant
psychological problems
requiring intervention



Source: Gainer, R., et al. (1997-Ongoing)

19.6%

**Is this the group in which we
will observe social role return
problems?**

**Let's look at a study with three
years of operation and a similar
population**



CNR Study

the people over the course of the study

18 tracked from 2010-2014

Average age: 37.72

Age Range: 34.10-40.50

Age at injury: 31.00

100% Severe TBI

33% MVA

22% Aneurysm

22% Assault

22% Anoxic Injury/Toxic Encephalopathy

the CNR cohort

age: 37.72

male/female :72%/27%

**period from injury to post-acute: 11.0 –
15.5 years**

Pre-injury psychological problems: 77%

Pre-injury substance abuse: 33%

Pre-injury legal problems: 44%

post-injury psychiatric diagnosis: 88%

post-injury substance abuse: 55%



Returning to pre-injury social role



33%

Returned to pre-injury social role





22%

Returned to pre-injury role with
modifications/supports





44%

Interfering psychiatric and substance
abuse problems affecting social role



The search for answers

NRIO and CNR: Essential Differences

Pre-injury mental health and substance abuse issues

Post-injury mental health and substance abuse issues

Length of time from initial injury to treatment

Number of “failed” treatment events

Availability of post-injury and post-treatment supports

**What can we learn from
durability?**

**What are the factors associated
with sustained long term
outcomes?**

**Are the answers in
front of us?**

**Where can we find the
solutions?**

**Where do we need to look
to make meaningful
changes?**

**Integration of mental health
and substance abuse
treatment into the early
phases of rehabilitation**

**Mental health screenings
need to include brain injury
and neurological diseases**

Sustaining caregivers

**What resources are needed
by caregivers to maintain
their healthy roles?**

**Can housing be
healthcare?**

**How can we integrate sustained
supports in the home?**

Eliminating health disparities

Mental health services across the lifespan

Active Case Management Services

Supports for social integration

Consumer directed information for people living with TBI and homelessness

Programs for the person...

**unique, person centered
programs**

A close-up photograph of a chain-link fence. The fence is made of interlocking metal rings, some of which are rusted. A metal padlock is attached to the fence, and several rusty chains are wrapped around the metal posts and the fence itself. The background is a clear blue sky.

**Eliminating barriers as
they occur....**

throughout the lifespan



That's all Folks!

This presentation can be found on
www.traumaticbraininjury.net
under “Resources” and then
“Community Presentations”

This presentation cannot be
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Resources

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